

460 JAMES ROBERTSON PARKWAY NASHVILLE, TENNESSEE 37243-0505

H. LYNN GREER, JR., CHAIRMAN SARA KYLE, DIRECTOR MELVIN J. MALONE, DIRECTOR



DAVID WADDELL, EXECUTIVE SECRETARY

Company ID: 00125558 Highland Communications Corportation 950 Main Street Suite D Wartburg, TN 37887

> BEFORE THE TENNESSEE REGULATORY AUTHORITY Nashville, Tennessee March 25, 1997

IN RE: CASE NUMBER: 97-00230

Application for Authority to Provide Operator Services and/or Resell Telecommunications Services in Tennessee Pursuant to Rule 1220-4-2-.57.

--ORDER--

This matter is before the Tennessee Regulatory Authority upon the application of the above-mentioned company for certification as a reseller of telecommunications / operator service provider in Tennessee. The TRA considered this application at a Conference held on March 18, 1997 and concluded that the applicant has met all the requirements for certification and should be authorized to provide operator services and/or resell telecommunications services on an intrastate basis.

IT IS THEREFORE ORDERED:

- 1. That the above-mentioned company is issued a Certificate of Convenience and Necessity as an operator service provider and/or reseller of telecommunications services for state-wide service in Tennessee as specified in its application on file with the Authority.
- 2. That said company shall comply with all applicable state laws and TRA rules and regulations.
- 3. That this order shall be retained as proof of certification with this Authority, and may be used to obtain appropriately tariffed service and billing arrangements from Authority authorized telecommunications service providers.

ATTEST:

Executive Secretary

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G.M. Patterson Manager

EXECUTIVE SECRETARY

February 14, 1997

A 125584
A 97.00230

Paul T. Coleman Attorney

Directors F. L. Terry President

J. H. Hamby Vice-President

Sandra Harness Secretary-Treasurer Tennessee Regulatory Authority 460 James Robertson Parkway Nashville, TN 37243-0505 Attn: David Waddell

Executive Secretary's Office

RE: Highland Communications Corporation

Application for Certificate to Provide Operator Services and Resell Telecommunications Services in Tennessee

Mr. Waddell:

Highland Communications Corporation is filing the enclosed application and will begin reselling long distance communications services starting on March 15, 1997. Highland Communications Corporation is a non-dominant telecommunications carrier and files this application pursuant to, and in accordance with, 1220-4-2-57 Rules and Regulations for Resellers of Telecommunication Services.

If you have any questions, please call me at (423) 346-4000.

Sincerely,

Mark Patterson General Manager

TENNESSEE REGULATORY AUTHORITY

Lynn Greer, Chairman Sara Kyle, Director Melvin Malone, Director



460 Ismes Robertson Parkway Nashville, Tennessee 37243-0505

(To be filled out by TRA)
Company ID Number___
Date Approved___
Evaluator____

		TO PROVIDE (AND TELECOMMUNICATION	N FOR CERTIFIC OPERATOR SERV OR RESELL ON SERVICES IN E 1220-4-257]	/ICES	EXT 23.	
<u>Part</u>	1: General Infor		ECTION A	_	19	
A.	Name of Appli Address 950 State TN	icant <u>Highland Com</u> Main Street Suite Zip Code 37887	D. Wartburg	·	6.77 9 1/9	
B. Owner, Partners, or Corporate Officer See Attachment A						
	NAME	ADDRESS	CITY	STATE	ZIP CODE	
C.		ephone number of contries Monday through F		prized to respon	d to	
	Mark Patterson (423) 346 4000		423	<u>423)346 -4010</u>		
	Name		ne No.		ax No.	
D.	List a toll-free telephone number that consumers can call to report service problems and/or request refunds or adjustments. (423) 346 - 4000					
E.	E. Check the type of telecommunication services you plan to provide in Tennessee. X Resell Interexchange long distance services Resell Local Exchange services X Operator Services Other (describe below)					
F.	If providing operator services, list company name, address and contact person for all reseller carriers you serve in Tennessee. Provide the above information on Appendix I.					
G.	List the state(s) you are authorized to	operate in at thi	s time <u>Tennes</u>	ssee &	

Н.	List any states that you have been denied authority to provide service. N/A				
١.	Areas in Tennessee to be served. Scott, Morgan, Anderson & Campbell Counties				
J.	What type of customers will the company serve? a. Businessx b. Residential_X c. Aggregators (e.g. Hotels, Payphones) d. Other (specify)				
K.	Do you allow a property imposed fee (PIF) to be added to the price of intrastate telephone calls over your network? If yes, specify amount. N/A				
L.	Are your prices for intrastate services plus any PIF equal to or less than the dominant carriers price for similar services? YesX_No				
M.	. Describe the type of services and price that the applicant will be offering in Tennessee on the Informational Tariff Form found in Appendix 111.				
N.	What is the applicant's 10XXX or 800 access code?5426				
Ο.	Does the applicant now have or plan to have any telecommunication's facilities (e.g. switches, fiber lines) in Tennessee?No				
Ρ.	What facility-based network will the applicant be reselling?MCT				
Q.	Will the applicant be utilizing the local telephone company's billing system or billing customers direct ² ? The local telephone company's billing system				
R.	Describe briefly how the applicant plans to market their services in Tennessee? If an independent telemarketer is going to be used, state company name and address. See Attachment B				
S.	Describe the procedures the applicant will use to switch a consumer's preferred interexchange service. See Attachment C				
Т.	Applicant has the ability and agrees to honor the form of call blocking that the consumer has subscribed to with their local telephone company. Yes $_{\rm X}$ No				

¹Applicant is required to fill out an Informational Tariff form. Failure to fill out this form will cause the anplicant's request to be rejected.

T. Applicant has the ability and agrees to honor the form of call blocking that the consumer has subscribed to with their local telephone company. Yes X No
U. Applicant gives permission to the local telephone company to provide the Authority a periodic sample of the reseller's intrastate toll calls. The purpose of this analysis is to audit the reseller's rates to assure they are at or below the dominant carrier's tariffed rates. Yes _x _ No
Part II: Organization Structure
A. Type of Organization
IndividualCorporation
PartnershipX_Other (Explain on separate sheet) See Attachment D
 B. If partnership and/or Non-resident (1) Attach a copy of Articles of Incorporation and current by-laws. (2) Attach a copy of Certification of Authority issued by Tennessee Secretary of State showing corporation's authority to engage in business in Tennessee.
Part III: Financial Information See Attachment E
A. Attach a current financial statement showing in detail the applicant's financial condition, including balance sheet and income statement, or a copy of IRS form 1120 or 1065 filed by your business for the previous year. Attach, if available, a copy of your company's 10K and/or stockholder reports.
Part IV: Display Card
Attach a copy of the display card to be placed on the aggregators telephone which shows what operator services are to be provided. The card must contain all required information listed in the attached Rule (1220-4-257, B) ³ , which includes a toll-free number consumers can call for service problems and refunds.
N/A

³It is the responsibility of the reseller or operator service provider to assure that the appropriate display card is

Part V: Rule Compliance Agreement

- A. The Interexchange Reseller or Operator Service Provider applicant, hereby, affirms the following:
- Has received, read, and understands the Tennessee Regulatory Authority (TRA; formerly TPSC) Interexchange Reseller Rules and Regulations, (Appendix III)
- Understands the penalties for non-compliance, and all associated fees to provide such service.
- Will comply with the TRA Interexchange Reseller Rules and all other applicable Authority Rules and state laws, including T.C.A. Section 65-5-206 (Appendix IV),
- That all information provided in the attached registration document is true to the best of my knowledge.

Highland Communication Cong. 2-14-93
Company Name Date

Subscribed and swom before me this / day of Jes , 1997

Marilyn Hough Notary Public my Comm. Exp 3/17/97

seal

Secretary of State Corporations Section James K. Polk Building, Suite 1800 Nashville, Tennessee 37243-0306

BAKER DONELSON BEARMAN & CALDWELL AT: RUTH CARUTHERS 511 UNION STREET NASHVILLE, TN 37219

ISSUANCE DATE: 11/04/1996
REQUEST NUMBER: 96309029
TELEPHONE CONTACT: (615) 741-6488

CHARTER/QUALIFICATION DATE: 05/09/1996 STATUS: ACTIVE CORPORATE EXPIRATION DATE: PERPETUAL CONTROL NUMBER: 0311849 JURISDICTION: TENNESSEE

REQUESTED BY:
BAKER DONELSON BEARMAN & CALDWELL,
AT: RUTH CARUTHERS
511 UNION STREET
NASHVILLE, TN 37219

CERTIFICATE OF EXISTENCE

I, RILEY C DARNELL, SECRETARY OF STATE OF THE STATE OF TENNESSEE DO HEREBY CERTIFY THAT "HIGHLAND COMMUNICATIONS CORPORATION"

IS A CORPORATION DULY INCORPORATED UNDER THE LAW OF THIS STATE WITH DATE OF INCORPORATION AND DURATION AS GIVEN ABOVE; THAT ALL YEES, TAXES, AND PENALTIES OWED TO THIS STATE WHICH AFFECT THE EXISTENCE OF THE CORPORATION HAVE BEEN PAID; THAT ARTICLES OF DISSOLUTION HAVE NOT BEEN FILED; AND THAT ARTICLES OF TERMINATION OF CORPORATE EXISTENCE HAVE NOT BEEN FILED

FOR: REQUEST FOR CERTIFICATE

SUITE 1700 NASHVILLE, TN 37219-0000

BAKER DONELSON BEARMAN ETC (NASHVILLE)

ON DATE: 11/04/96

FEES

RECEIVED:

\$10.00 \$10.00

TOTAL PAYMENT RECEIVED:

RECEIPT NUMBER: 00002028120 ACCOUNT NUMBER: 00208389

511 UNION ST

RILEY C. DARNELL SECRETARY OF STATE