# BEFORE THE TENNESSEE PUBLIC UTILITY COMMISSION

## NASHVILLE, TENNESSEE

<b>September 15, 2021</b>		
IN RE:	)	
	)	
STAFF COMPLIANCE AUDIT OF SUPERIOR	)	DOCKET NO.
WASTEWATER SYSTEMS, LLC'S COMPLIANCE	)	21-00086
WITH COMMISSION RULES 1220-04-1307 AND	)	
1220-04-1308 REGARDING FINANCIAL SECURITY	)	
AND RULE 1220-04-1316 REGARDING AFFILIATE	)	
TRANSACTIONS	)	
WASTEWATER SYSTEMS, LLC'S COMPLIANCE WITH COMMISSION RULES 1220-04-1307 AND 1220-04-1308 REGARDING FINANCIAL SECURITY AND RULE 1220-04-1316 REGARDING AFFILIATE	) ) ) )	

#### ORDER OPENING COMPLIANCE AUDIT REVIEW DOCKET

This matter came before Chairman Kenneth C. Hill, Vice Chairman Herbert H. Hilliard, Commissioner Robin L. Morrison, Commissioner John Hie, and Commissioner David F. Jones of the Tennessee Public Utility Commission ("TPUC" or "Commission"), the voting panel assigned to this docket, during a regularly scheduled Commission Conference held on August 9, 2021 for consideration of the request of the Commission's Party Staff ("Staff") to review Superior Wastewater Systems, LLC's compliance with Commission Rules 1220-04-13-.07 and 1220-04-13-.08 regarding financial security and 1220-04-13-.16 regarding affiliate transactions for the year ended March 31, 2020.

During the Conference, the panel considered the Staff's request and found that a docket should be opened in order for Staff to review and report on Superior Wastewater Systems, LLC's compliance with Commission rules related to financial security and affiliate transactions. Further, the panel appointed a preliminary hearing officer to address any procedural issues that may arise and prepare the docket for a hearing before the panel, if later determined necessary. Thereafter, the panel voted unanimously to open the docket.

### IT IS THEREFORE ORDERED THAT:

The Tennessee Public Utility Commission's Party Staff shall conduct a comprehensive examination of Superior Wasterwater Systems, LLC's actions and compliance with the Commission's Rules and file a Compliance Audit Report on its findings.

## FOR THE TENNESSEE PUBLIC UTILITY COMMISSION:

Chairman Kenneth C. Hill, Vice Chairman Herbert H. Hilliard, Commissioner Robin L. Morrison, Commissioner John Hie, and Commissioner David F. Jones concurring.

None dissenting.

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**ATTEST:** 

**Earl R. Taylor, Executive Director**