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T.R.A. DOCKET ROOM

Mr. Herbert H. Hilliard
c/o Sharla Dillon, Dockets Manager
Tennessee Regulatory Authority
502 Deaderick Street, Fourth Floor
Nashville, TN 37243

Re: Docket No.
Petition for Approval of Alternative Financial Security

Dear Chairman Hilliard,

Attached for filing is the original affidavit of Doug Hodge for his Pre-filed Testimony.

Sincerely,



Douglas S. Hodge, Ph.D., PMP
President

DSH & Associates, LLC
2099 Thunderhead Road, Suite 204
Knoxville, TN 37922
865-755-8066
dhodge@dshassociate.com

**BEFORE THE TENNESSEE REGULATORY AUTHORITY
NASHVILLE, TENNESSEE**

| | | |
|--------------------------------------|---|-------------------|
| IN RE: |) | |
| |) | |
| PETITION OF |) | DOCKET NO. |
| DSH & ASSOCIATES, LLC |) | |
| TO POST ALTERNATIVE FINANCIAL |) | |
| SECURITY |) | |

**DIRECT TESTIMONY OF DOUG HODGE ON BEHALF OF
DSH & ASSOCIATES, LLC**

- Q. PLEASE STATE YOUR NAME FOR THE RECORD.
- A. My Name is Doug Hodge.
- Q. WHAT IS YOUR POSITION WITH THE PETITIONER, DSH & ASSOCIATES, LLC?
- A. I am owner and active member.
- Q. WOULD YOU BRIEFLY DESCRIBE YOUR DUTIES WITH DSH & ASSOCIATES, LLC?
- A. I am part of the management team. I assist and delegate in maintenance. In addition, I oversee financial decisions and daily financial operations.
- Q. ARE YOU FAMILIAR WITH THE CONTENT OF THE PETITION?
- A. I am.
- Q. TO THE BEST OF YOUR KNOWLEDGE, INFORMATION AND BELIEF, ARE ALL STATEMENTS IN THE PETITION CORRECT AND TRUE?
- A. Yes, they are.
- Q. PLEASE DESCRIBE THE PETITIONER.
- A. DSH & Associates, LLC ("Company" or "Petitioner") is incorporated under the laws of the State of Tennessee. It is owned by Doug Hodge. The Tennessee

Regulatory Authority granted approval of a Certificate of Public Convenience and Necessity (CCN) to provide water and wastewater utility services in Docket No. 11-00162. Since the grant of such CCN, the Petitioner has provided wastewater utility.

Q. WHAT IS THE PURPOSE OF YOUR TESTIMONY?

A. The purpose of my testimony is to provide information in support of the petition of the Company for approval to post alternative financial security.

Q. HAS THE AUTHORITY GRANTED PETITIONER ALTERNATIVE FINANCIAL SECURITY PREVIOUSLY?

A. No.

Q. WHAT IS DSH & ASSOCIATES'S ANNUAL REVENUE FOR 2015?

A. As stated in DSH & Associates' s most recent UD20 report filed at the T.R.A., the Petitioner's annual revenue for 2015 was \$27,991.

Q. IN YOUR OPINION, DOES DSH & ASSOCIATES HAVE THE REQUISITE FINANCIAL STABILITY TO OFFER UTILITIES?

A. Yes. Financial stability is demonstrated in our annual report filed at the T.R.A. for 2015.

Q. PLEASE EXPLAIN.

A. DSH & Associates has been operating the utility since acquisition in 2012 without any financial problems. Since acquisition, customers of both the water and wastewater systems have been served continuously and without rate increases. The Authority is assured of financial security for the wastewater system with the \$26,000.00 bond and the financial stability of DSH & Associates. The \$26,000.00 bond filed by DSH & Associates is ample security to cover the wastewater revenues.

Q. DO YOU HAVE ADDITIONAL INFORMATION TO SUPPORT YOU PETITION?

A. No

Q. DOES THIS CONCLUDE YOUR TESTIMONY?

A. Yes.

BEFORE THE TENNESSEE REGULATORY AUTHORITY

Nashville, Tennessee

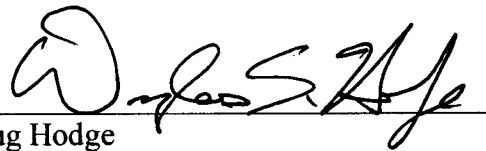
IN RE:)
)
PETITION OF) DOCKET NO.
TO POST ALTERNATIVE FINANCIAL)
SECURITY)

AFFIDAVIT

The affiant, after first being duly sworn according to law, deposes and states as follows:

I, the undersigned, Doug Hodge, attest and certify that I prepared and reviewed the Pre-Filed testimony and that the contents thereof are true to the best of my knowledge, information and belief.

FURTHER AFFIANT SAITH NOT.



Doug Hodge

STATE OF Tennessee)
COUNTY OF Knox)

Sworn to and subscribed before me this 1st day of April,
2016 >



Notary Public

My commission expires: 11-4-17

