

BEFORE THE TENNESSEE REGULATORY AUTHORITY

NASHVILLE, TENNESSEE

January 14, 2015

IN RE:)	
)	DOCKET NO.
SHOW CAUSE PROCEEDING AGAINST KING'S)	14-00007
CHAPEL CAPACITY, LLC, FOR ALLEGED)	
VIOLATIONS OF WASTEWATER UTILITY LAWS)	
& TRA RULES)	

PROTECTIVE ORDER

To expedite the flow of filings, exhibits and other materials, and to facilitate the prompt resolution of disputes as to the confidentiality of material, adequately protect material entitled to be kept confidential and to ensure that protection is afforded only to material so entitled, the Tennessee Regulatory Authority (the "Authority" or "TRA") hereby orders that:

1. For the purposes of this Protective Order ("Order"), proprietary or confidential information, hereinafter referred to as "Confidential Information", shall mean documents, testimony, or information, in whatever form which the Producing Party, in good faith, and based on reasonable inquiry, deems to contain trade secrets, confidential research, development or other sensitive information protected by state or federal law, regulation, or rule, and which has been specifically designated by the Producing Party. A "Producing Party" is defined as the party creating the Confidential Information as well as the party having actual physical possession of information produced pursuant to this Order. All summaries, notes, extracts, compilations or other direct or indirect reproduction from, or of any protected materials, shall be entitled to protection under this Order. Documents containing Confidential Information shall be conspicuously and specifically labeled as "CONFIDENTIAL." The documents must be

produced in a way that will clearly identify to others that it contains Confidential Information. Any document so designated shall be handled in accordance with the Order. The provisions of any document containing Confidential Information may be challenged under Paragraph 10 of this Order.

2. Any individual or company subject to this Order, including producing parties or persons reviewing Confidential Information, shall act in good faith in discharging their obligations hereunder. Parties or non-parties subject to this Order shall include King's Chapel Capacity and the Tennessee Regulatory Authority staff acting as a party ("Party Staff").

If other parties are permitted to intervene, they will be allowed access to Confidential Information only to the extent and under the conditions permitted by separate order.

3. Subject to the exceptions noted below in this Paragraph 3, Confidential Information shall be disclosed only to the following persons:

- (a) counsel of record for the parties in this case and associates, secretaries, and paralegals actively engaged in assisting outside counsel of record in this docket and any appeals therefrom;
- (b) in-house counsel for the parties;
- (c) officers, directors, or employees of the parties; provided that such officers, directors, and/or employees shall be subject to the provisions of this Protective Order, and shall not disclose such information further except as otherwise permitted under the terms of this Protective Order;
- (d) TRA Directors and members of the staff of the TRA;
- (e) outside consultants and expert witnesses employed or retained by the parties or their counsel, who have access to Confidential Information solely for evaluation, testing, testimony, preparation for trial or other services related to this docket, provided that to the extent that any party seeks to disclose Confidential Information to any outside consultant or expert witness who is expected to testify on that party's behalf, the party shall give five (5) days written notice to the Producing Party of intention to disclose Confidential Information. During such notice period, the Producing Party may move to prevent or limit disclosure for cause, in

which case no disclosure shall be made until the TRA, the hearing officer, the administrative law judge or court rules on the motion. Any such motion shall be filed within three (3) days after service of the notice. Pre-hearing conferences may be called to confer with the parties on the Motions to Limit Disclosure. All service shall be by hand delivery or by facsimile.

Under no circumstances shall any Confidential Information be disclosed to or discussed with anyone associated with the marketing of services in competition with the products, goods or services of the producing party.

4. Prior to disclosure of the CONFIDENTIAL INFORMATION to any of the authorized persons, the counsel representing the party who is to receive the CONFIDENTIAL INFORMATION shall notify the person of this Order and notify the person where it can be found on the TRA's website or provide a copy of the Order to the recipient Director, staff member, employee or, officer, who shall be bound by the terms of this Order. Prior to disclosure of CONFIDENTIAL INFORMATION to any outside consultant or expert witness employed or retained by a party, counsel shall provide a copy of this Order to such outside consultant or expert witness, who shall sign an affidavit in the form of that attached to this Order attesting that he or she has read a copy of this Order, that he or she understands and agrees to be bound by the terms of this Order, and that he or she understands that unauthorized disclosure of the documents labeled "CONFIDENTIAL" constitutes a violation of this Order. This Affidavit shall be signed in the presence of and be notarized by a notary public. Counsel of record for each party shall provide the Producing Party a copy of each such Affidavit for retained experts expected to be called as a witness at the hearing of this matter and shall keep the Affidavits executed by all experts or consultants retained by that party, whether or not expected to be called as a witness, on file in their respective offices.

5. If any party or non-party subject to this Order inadvertently fails to label documents as "CONFIDENTIAL" in accordance with the provisions of this Order when producing such documents, such failure shall not constitute a waiver of confidentiality, provided the party or non-party who has produced the document shall notify the recipient of the document in writing within five (5) days of discovery of such inadvertent failure to label the document as "CONFIDENTIAL." At that time, the recipients will immediately treat the subject document as CONFIDENTIAL INFORMATION. In no event shall the TRA, Attorney General, or any other party to this Order, be liable for any claims or damages resulting from the disclosure of a document while not so labeled as "CONFIDENTIAL." An inadvertent failure to label a document as CONFIDENTIAL shall not, in any way, affect the TRA's determination as to whether the document is entitled to CONFIDENTIAL INFORMATION status.

6. Any papers filed in this proceeding that contain, quote, paraphrase, compile or otherwise disclose documents covered by the terms of this Order, or any information contained therein, shall be filed with the TRA in sealed envelopes labeled "CONFIDENTIAL." The filing party shall also include with the filing a public version of the papers with any Confidential Information redacted. Only the redacted public version may be placed in the TRA's public file and /or posted on the TRA website. In the TRA's files, each sealed envelope shall be labeled to reflect the style and docket number of this proceeding and to identify the subject matter of the content of the sealed envelope. Further, the envelopes at the TRA shall be maintained in a locked filing cabinet. The envelopes shall not be opened or their contents reviewed by anyone except upon order by the TRA, hearing officer, or administrative law judge after due notice to counsel of record. Notwithstanding the foregoing, the directors and the staff of the TRA may review any paper filed as CONFIDENTIAL INFORMATION and labeled CONFIDENTIAL without

obtaining an order of the TRA, hearing officer, or administrative law judge, provided the directors and staff maintain the confidentiality of the paper in accordance with the terms of this Order.

7. Documents, information and testimony designated as Confidential Information and labeled "CONFIDENTIAL," in accordance with the Order, may be disclosed in testimony at the hearing on the merits of this proceeding and offered into evidence in any hearing related to this action, subject to the applicable rules of evidence and to such future orders as the TRA, hearing officer, or administrative law judge may enter. To the extent possible, any party intending to use documents, information, or testimony designated as CONFIDENTIAL INFORMATION shall inform the Producing Party and the TRA, hearing officer, or administrative law judge, prior to the hearing on the merits of the case, of the proposed use, and shall advise the TRA, the hearing officer, or administrative law judge, and the Producing Party before use of such information during witness examinations so that appropriate measures can be taken by the TRA, hearing officer, or administrative law judge to protect the confidential nature of the information.

8. Except for documents filed with the TRA, all documents covered by the terms of the Order that are disclosed to the requesting party shall be maintained in files labeled "CONFIDENTIAL" and labeled with reference to this Order at the offices of the requesting party's counsel of record.

9. Nothing herein shall be construed as preventing any party from continuing to use and disclose any information (a) that is in the public domain, or (b) that subsequently becomes part of the public domain through no act of such party, or violation of this Order, or (c) that is disclosed to it by a third party, where said disclosure does not itself violate any contractual or

legal obligation or terms of this order, or (d) that is independently developed by a party, or (e) that is known or used by it prior to this proceeding, The burden of establishing the existence of (a) through (e) shall be upon the party attempting to use or disclose such information.

10. Any party may contest the designation of any document or information as Confidential Information by filing a motion with the TRA, hearing officer, administrative law judge or the courts, as appropriate, for a ruling that the documents, information, or testimony should not be so treated. All documents, information and testimony designated as Confidential Information, however, shall be maintained as such until the TRA, hearing officer, administrative law judge, or a court orders otherwise. To the extent practicable, a motion to contest must be filed not later than five (5) days after receipt of the material designated Confidential Information or ten (10) days prior to the hearing on the merits, whichever date occurs later in time or as otherwise ordered by the TRA. Any reply seeking to protect the status of the Confidential Information must be received not later than five (5) days prior to the hearing on the merits or as otherwise ordered by the TRA. Motions made and subsequent replies received within the five (5) days prior to the Hearing on the merits shall be presented to the TRA at the hearing on the merits for a ruling.

11. No person authorized under the terms herein to receive access to documents, information, or testimony designated as Confidential Information shall be granted access until such person has complied with the requirements set forth in Paragraph 4 of this Order.

12. Any person to whom disclosure or inspection is made in violation of this Order shall be bound by the terms of this Order.

13. Upon an order becoming final in this proceeding and conclusion of any appeals resulting from such an order, all the filings, exhibits and other materials designated

CONFIDENTIAL INFORMATION and all copies thereof shall be returned to counsel of the party who produced the filings, exhibits and other materials within fifteen (15) days of a written request from the producing party, or counsel in possession of such documents shall certify to counsel of the producing party that all the filings, exhibits and other materials designated as CONFIDENTIAL INFORMATION and all copies thereof have been destroyed. The requirements of this paragraph shall become operative immediately upon any party (including any intervenor) who withdraws or otherwise ceases to be a party to the case, even though the case itself may continue to be pending. Subject to the requirements of Paragraph 7 above, the TRA shall retain copies of information designated as confidential as may be necessary to maintain the record of this cause intact.

14. After termination of this proceeding, the provisions of this Order relating to the secrecy and confidential nature of confidential documents, information and testimony shall continue to be binding, upon parties hereto and their officers, employers, employees, agents, and/or others for five (5) years unless this Order is vacated or modified or otherwise ordered by the TRA.

15. Nothing herein shall prevent a party from seeking further protection for particular documents or prevent entry of a subsequent order, upon an appropriate showing, requiring that any documents, information or testimony designated as Confidential Information shall receive protection other than that provided herein.

16. The Company agrees that it will not designate any documents as Confidential Information or label such documents as CONFIDENTIAL if the documents:

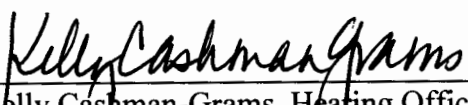
- (a) have been distributed to the public, consumers or others; or
- (b) are not maintained by the Company as Confidential Information.

17. Nothing in this Order shall prevent Party Staff from using the Confidential Information received for investigative purposes in the discharge of its duties. Without limiting the scope of this paragraph, nothing in the Order shall prevent Party Staff from contacting consumers whose names were provided by the Company or from discussing with any consumer any materials that he or she allegedly received from the Company or confirming that a consumer actually received the materials.

18. All information, documents and things designated as Confidential Information and produced in accordance with this Order may be disclosed in testimony or offered into evidence at any TRA or court hearing, trial, motion or proceeding of this matter, subject to the provisions of this Order, including Paragraph 7, and the applicable rules of evidence and any order the TRA may enter to protect the confidentiality of information offered at any hearing or other proceeding. The party who produced the information, documents and things designated as Confidential Information agrees to stipulate to the authentication of such information, documents and things in any such proceeding. If any party identified information in the CONFIDENTIAL INFORMATION that indicates that illegal conduct (civil or criminal) has occurred or may occur, nothing in the Order shall prevent such party from reporting such alleged conduct to the appropriate law enforcement or regulatory agency.

19. Nothing in this Agreement is intended to restrict or alter federal or state laws, regulations or rules.

20. Any person who has signed a non-disclosure certificate or is otherwise bound by the terms of this Order shall continue to be bound by this Order and/or certificate even if no longer engaged by the TRA or Intervenors.


Kelly Cashman-Grams, Hearing Officer

BEFORE THE TENNESSEE REGULATORY AUTHORITY

NASHVILLE, TENNESSEE

IN RE:

SHOW CAUSE PROCEEDING AGAINST KING'S
CHAPEL CAPACITY, LLC, FOR ALLEGED
VIOLATIONS OF WASTEWATER UTILITY LAWS
& TRA RULES

DOCKET NO.
14-00007

AGREEMENT TO COMPLY WITH PROTECTIVE ORDER

I have reviewed the Protective Order entered in the above-captioned matter and agree to abide and be bound by its terms. I understand that unauthorized disclosure of information or documents labeled "CONFIDENTIAL" will be a violation of the Protective Order.

DATE

NAME

STATE OF _____)

COUNTY OF _____)

Personally appeared before me, _____ (*name of notary*), a
Notary Public, _____ (*name of the natural person executing the
Statement*), with whom I am personally acquainted, and who acknowledged that he or she
executed the within instrument for the purposes therein contained.

WITNESS my hand, at office, this _____ day of _____, _____.

NOTARY PUBLIC

My Commission Expires: