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DSH & Associates, ALC,
Engineering/Construction/Utility Services

February 11, 2010

Sharla Dillon Dockets and Records Manager Tennessee Regulatory Authority 460 James Robertson Parkway Nashville, TN 37243-0505

SUBJECT: CCN Submittal Package/Docket NO. 11-00020 PROJECT: Lakeside Estates Waste Water Treatment System

Dear Ms. Dillon,

Attached, please find the original signed bond for DSH & Associates, LLC to cover the first years operations at Lakeside Estates Waste Water Treatment System.

Let me know if you require additional information.

Sincerely,

Douglas S. Hodge, Ph.D., PMP

Douglas S. Holy

Manager

DSH & Associates, LLC 4028 Taliluna Avenue Knoxville, TN 37919 Operations Manager

P: 865-755-8066/F: 865-622-2452

Hodge.DSH@gmail.com

RECEIVED

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TN REGULATORY AUTHORITY UTILITIES DIVISION

## CORPORATE SURETY BOND

Tennessee Regulatory Authority 460 James Robertson Parkway Nashville, Tennessee 37243-0505

REFERENCE: DSH & Associates, LLC

Company ID: 129107

Corporate Surety Bond #: 0010226

Effective Date: 1/31/11 Expiration Date: 1/31/12

DSH & Associates, LLC

, as Principal, and Companion Property and Casualty Insurance Company, a corporation created and existing under the laws of S.C., as Surety, (Hereinafter called "Surety") are bound to the State of Tennessee in the sum of exactly Forty Thousand and 00/100 Dollars (\$40,000), and Principal and Surety hereby bind themselves, their successors and assigns, to pay in

## THE CONDITION OF THIS BOND IS:

accordance with the following terms:

The Principal is or intends to become a public wastewater utility subject to the laws of the State of Tennessee and the rules and regulations of the Tennessee Regulatory Authority ("Authority"), relating to the operation of a public wastewater utility: (describe utility and location

Lakeside Estates Wastewater Collection and Treatment System, LaFollette TN

Tennessee Code Annotated § 65-4-201 requires the holder of a franchise for wastewater service to furnish a bond with sufficient surety, as approved by the Authority, conditioned as prescribed in Tenn. Comp. R. & Regs. Chapter 1220-4-13.

The Principal and Surety have delivered to the Authority a Surety Bond with an endorsement as required by the Authority.

After notice to the Principal and Surety and a contested case hearing that results in the suspension or revocation of the Principal's Certificate of Public Convenience and Necessity (CCN), the replacement of an operator by the Authority, or the appointment of a receiver by a court, the Authority may assess a sum sufficient of this bond, up to its maximum sum, to enable the continued operation of the public wastewater utility.

The Principal and the Surety are held and firmly bound to the State of Tennessee, in accordance with the provisions of Tenn. Comp. R. & Regs. Chapter 1220-4-13, in the amount of Forty thousan Dollars (\$ 40,000) lawful money of the United States of America to be used for the full and prompt payment of any monetary obligation imposed against the Principal, its representatives, successors or assigns, in any contested case proceeding brought under Chapter 1220-4-13, by or on behalf of the Authority, for which obligation the Principal and the Surety bind themselves, their representatives, successors and assigns, each jointly and severally, firmly and unequivocally by these presents.

Upon entry of an Order that finds a monetary obligation pursuant to Chapter 1220-4-13, and delivery to the Surety of a Bond Notice, substantially in the form set forth below ("Notice"), the Surety promises to pay, by wire transfer of immediately available funds, the amount of the monetary obligation as stated in the Order and Notice.

If for any reason, the Surety Bond is not to be renewed upon its expiration, the Surety shall, at least sixty (60) days prior to the expiration date of the Surety Bond, provide written notification by means of certified mail, return receipt requested, to the Tennessee Regulatory Authority, that the Surety Bond will not be renewed beyond the then current maturity date for an additional period. Before the date of expiration, the public wastewater utility shall provide the Tennessee Regulatory Authority with a replacement Surety Bond or petition consistent with Rule 1220-4-13-.07(5). Failure to have approved financial security in effect will subject the public wastewater utility to daily penalties pursuant to Tenn. Code Ann. § 65-4-120.

## Bond No. 0010226

The bond shall become effective after execution by the Principal and Surety and upon filing with the Authority, and shall continue from year to year unless the obligations of the Principal under this bond are expressly released by the Authority in writing.

The Principal and Surety consent to the conditions of this Bond and agree to be bound by them.

This 31st day of January 20 11.

Principal)

Companion Property and Casualty Insurance Co.

(Surety)

David R. Brett, Attorney-in-Fact

June, 2006

## ACKNOWLEDGMENT OF PRINCIPAL

COUNTY OF NOT
Before me, a Notary Public of the State and County aforesaid, personally appeared DUGIUS SHOCK with whom I am personally acquainted and who, upon oath, acknowledged himself to be the individual who executed the foresting bond on behalf of DSH HISOCIUTES, and he acknowledged to me that he executed the same ISSA DIVID
WITNESS my hand and seal this down day of 1 1000 2011.
My Commission Expires:
09-00- Notary Public Notary Public
ACKNOWLEDGMENT OF SURETY
Georgia STATE OF XEXIXES EX COUNTY OF Fulton
Before me, a Notary Public of the State and County aforesaid, personally appeared David R. Brett  with whom I am personally acquainted and who, upon oath, acknowledged himself to be the individual who executed the foregoing bond on behalf of "See Below", the within named Surety, a corporation licensed to do business in the State of Tennessee and duly authorized by the Tennessee Commissioner of Insurance to engage in the surety business in this state pursuant to Title 56, Chapter 2 of the Tennessee Code Annotated, and that he as such an individual being authorized to do so, executed the foregoing bond, by signing the name of the corporation by himself and as such individual.  WITNESS my hand and seal this 31st day of January , 2011.
My Commission Expires:
My Commission Expires:
"Companion Property and Casualty Insurance Company"  Notary Public Judy McDonald  GEORGIA  2, 2013
APPROVAL AND INDORSEMENT
This is to certify that I have examined the foregoing bond and found the same to be sufficient and in conformity to law, that the sureties on the same are good and worth the penalty thereof, and that the same has been filed with the Tennessee Regulatory Authority, State of Tennessee, this day of, 20
Name: Title: