Docket No. 09-00178

#### PATRICK D. CROCKER patrick@crockerlawfirm.com

November 4, 2009

filed electronically in docket office on 11/04/09

Darlene Standley, Chief Telecommunications Division Tennessee Regulatory Authority 460 James Robertson Parkway Nashville, TN 37243

ALLIANCE GLOBAL NETWORKS LLC

Dear Ms. Standley:

RE:

Enclosed for filing with the Regulatory Authority, please find an original and four (4) copies of the above captioned corporation's APPLICATION FOR CERTIFICATE TO PROVIDE OPERATOR SERVICES AND/OR RESELL INTEREXCHANGE TELECOMMUNICATION SERVICES, along with a check in the amount of \$50.00 for filing fees relating to same.

Also enclosed is an exact duplicate of this letter. Please date-stamp the duplicate and return same to me in the enclosed postage-paid envelope.

Please contact the undersigned should you have any questions or concerns.

Very truly yours.

Patrick D. Crocker

CROCKER & CROCKER, P.C.

PDC/tld

cc:

Sharla Dillon, sharla.dillon@tn.gov

# APPLICATION FOR CERTIFICATE TO PROVIDE OPERATOR SERVICES AND/OR RESELL TELECOMMUNICATION SERVICES IN TENNESSEE SECTION A

Application is hereby made for a certificate of authority pursuant to TRA Rule 1220-4-2-.57 to provide telecommunications services in the State of Tennessee.

Part I:	General Information	<u>n</u>				
A.	Name of Applicant	ALLIANCE GLOBAL NETWORKS LLC Full exact name of person, corporation, partnership, sole proprietors which application is made.	hip, or other entity, for			
		Legal name of applicant, if different from above.				
		1221 Post Rd. E., Westport, CT 06880 Address, City, State, Zip				
	Federal Taxpayer I	Tenn. Secretary of State Certificate of Authority ID 0587987  Federal Taxpayer ID Number 26-2643224  Any trade name(s), assumed name(s) or fictitious name(s) used by applicant:				
		engaged in providing telecommunications services, peach affiliate(s), as well as for the applicant.	provide the above			
	Affiliate: Alliance G	Group Services, Inc.				
	Address 1221 Post	Road East				
	City/State/Zip West	City/State/Zip Westport, CT 06880 Phone (203) 221-8700				
		Tenn. Secretary of State Certificate of Authority ID <u>0344808</u> Federal Taxpayer ID Number <u>06-1502829</u>				
provio name all pa	ding telecommunic or fictitious name	***IMPORTANT INFORMATION*** s) or parent company, or constituency corporations services, or operating under any trade used by the above, provide the above requested tion as well as for the applicant. Provide this intecessary.	name, assumed I information on			
		THIS SECTION FOR TRA USE ONLY	_			
Dock	(et Number	Company ID Number Date Approved				
		Evaluator				
1						

B. Describe other businesses or business transactions, if any, at the same locat principal business address:					ns, if any, at the same location as	the
	Not Applicable					
C.					of and a chronological summary of the preceding eight years of:	the
	(a) (b) (c)	Every memb Each Execut joint stock as	er, if the app tive Officer, I ssociation or	a corporation. (No		ded
	(d)				ol over or direction of, the business anization of the applicant.	of
	NAME BUSIN HOME	nation to be ind E NESS ADDRES E ADDRESS OYMENT HIS	SS	TITLE	SOCIAL SECURITY NUMB PHONE I PHONE I	No.
	Attached as Exhibit A.					
D.	Has the applicant or any of its parent companies, subsidiaries, affiliates, owners, partners, LLC members, directors, officers, five percent (5%) more shareholders or beneficiaries (of a trust) been associated with a business whose authority to transact business was denied, revoked or suspended by a state or federal regulatory or law enforcement entity?				or act	
	☐ Ye	es 🛭 No	If yes, plea	ase explain fully.		
E.	Tenne regula subsid	essee, any fe tory action o diaries, affiliate	deral agenc or order aga es, owners, p	y or any agency ainst the applica	any other agency of the State of any other state ever initiated ont or any of its parent companimbers, directors, officers, five percent)?	d a les,
	☐ Ye	es 🛚 No	If yes, plea	ase explain fully.		
	(1)	partners, LLC or beneficiar state or fed conduct or pr	C members, ies (of a trus eral regulate ractice relate	directors, officers st), been enjoined ory or law enforc	panies, subsidiaries, affiliates, owner, five percent (5%) more sharehold or restrained by order by any court cement entity from engaging in a nunications business?	ers t or

F.	partners, LLC members, dir beneficiaries (of a trust) bee	ectors, officers, five perce en associated with a busir	subsidiaries, affiliates, owners, ent (5%) more shareholders or less who has ceased providing circumstances. (Use additional		
	☐ Yes ☒ No If yes,	please explain fully.			
G.	Has the applicant or any of its parent companies, subsidiaries, affiliates, owners, partners, L.L.C. members, directors, officers, five percent (5%) or more shareholders or beneficiaries (of a trust) been convicted of any crime or crimes, or charged in court with any fraudulent or dishonest acts in any transaction of any kind, or confined in any penal institution? If so, list such persons, give details, state results and final outcome. (Use additional pages if necessary)				
	☐ Yes ⊠ No If yes,	please explain fully.			
	partners, L.L.C. me shareholders or bene	mbers, directors, officers	, subsidiaries, affiliates, owners, five percent (5%) or more adicted, convicted, pled guilty or elsewhere?		
	☐ Yes ⊠ No	If yes, please explain fully.			
H.	Name and telephone numb inquiries regarding company		orized to respond to Authority Friday.		
	Mary O'Keeffe	(203) 221-8700	(203) 221-8705		
	Name (000) 750 0000	Phone No.	Facsimile No.		
	(800) 756-2236	E-mail Address: mok@allia	incegrp.com		
	(1) Name and telephone number of contact person authorized to respond Authority inquiries regarding this filing Monday through Friday.				
	Patrick Crocker  Name	(269) 381-8893 Phone No.	(269) 381-4855 Facsimile No.		
		E-mail Address: patrick@	crockerlawfirm.com		
l.	List a toll-free telephone num report service problems and/o		at consumers can call or write to ments.		
	1-800-756-2236 PHONE NUMBER	(203) 22 ALTERNAT	1-8700 E PHONE NUMBER		
	1221 Post Rd. E.	Westport CITY	CT 06880		
	ADDRESS		STATE ZIPCODE		
J.	Provide the name and address	ss of the registered agent fo	r service of process:		
	Joseph Martin, Jr.	100			
	315 Deaderick Street, Suite 1 Nashville, TN 37238	100			

ĸ.	phone numbers and any other businesses conducted by the agent at the same location: (use additional sheets if necessary)
	Not Applicable
Part II	<u>.</u>
A.	Check the type of telecommunication services you plan to provide in Tennessee.  ☐ Resell Interexchange long distance services ☐ Operator Services ☐ Resell local services ☐ Other (describe)
В.	If providing operator services, list company name, address and contact person for all reseller carriers you serve in Tennessee. <b>Provide the above information on Appendix I.</b>
	Applicant is not providing operator service at this time.
C.	List the state(s) where the applicant, its parent company, and all affiliates is authorized to operate in at this time. For each such state, describe applicant's current activities along with a history of operations there. (Use additional pages if necessary.)
	Attached as Exhibit B.
	For the above states, list the number and types of complaint(s) filed against applicant, and the complaint(s)' current status. Provide this information on a separate attachment, if necessary.
	No complaints have been filed against Applicant.
	If applicant has affiliate(s) or parent company, or constituency corporations, engaged in providing telecommunications services, or operating under any trade name, assumed name or fictitious name used by the above, provide the above requested information for all as well as for the applicant. Provide this information on a separate attachment, if necessary.
D.	List any states that the applicant or any affiliate, parent company, or constituency corporation operating under any trade name, assumed name, or fictitious name, has been denied authority to provide service. (Use additional pages if necessary)
	Applicant has not been denied authority to provide service.
E.	Areas in Tennessee to be served.
	Statewide

⊦.	What type of customers will the applicant serve?
	<ul> <li>a.  Business</li> <li>b.  Residential</li> <li>c.  Aggregators</li></ul>
G.	Does the applicant allow a property imposed fee (PIF) to be added to the price of intrastate telephone calls over its network?
	☐ Yes ☐ No If yes, specify amount:
H.	Are your prices for intrastate services plus any PIF equal to or less than the dominant carriers' price for similar services?
	☐ Yes ☐ No Not applicable
l.	Describe the type of services and price that the applicant will be offering in Tennessee on the Informational Tariff Form found in Appendix II <sup>1</sup> .
	Attached as Exhibit C.
J.	What is the applicant's 10XXX or 800 access code, if applicable?
	Not applicable
K.	Does the applicant now have or plan to have any telecommunication's facilities (e.g. switches, fiber lines) in Tennessee?
	Applicant has no plans at this time to construct any telecommunications transmission facilities of its own and seeks no construction authority by means of this application.
L.	Whose facility-based network(s) will the applicant be reselling?
	AT&T
M.	Will the applicant be utilizing the local telephone company's billing system or billing customers directly <sup>2</sup> ?
	Applicant will bill customers directly. A sample bill is attached as <b>Exhibit D</b> .
N.	Describe briefly how the applicant plans to market their services in Tennessee?
	Applicant intends to primarily provide wholesale service to other resellers and carriers. Applicant will market service to such resellers and carriers directly.

Applicant is required to fill out an Informational Tariff form. Failure to fill out this form will cause the applicant's request to be rejected.

<sup>&</sup>lt;sup>2</sup> A copy of a bill is required if applicant is going to bill the customer directly.

n/a COMPANY NAI	ME	CONTACT	ADDRESS	CITY ST ZIP	PHONE
COMPANY NAI	МЕ	CONTACT	ADDRESS	CITY ST ZIP	PHONE
consumer's consumer's	preferred interexc	d interexchang change service	e service, and to	the applicant will prevent unauthorized pages if necessoppies.	zed switching o
a retail pro Agency ("L	duct, App OA") in	olicant will swi case of a cor	itch customers a nmercial custom	esellers. In the ever fter obtaining an e er or a voice reco attached hereto as	executed Letter ording authorize
			s to honor the for elephone compan	rm of call blocking t y.	hat the consun
⊠ Yes	☐ No				
periodic sa	mple of t	he reseller's ir	ntrastate toll calls	company to provides. The purpose of below the dominar	this analysis is
⊠ Yes	☐ No				
between tw	o points i	in the same co	ounty in Tenness	sure that any tele ee shall be classifie ode Annotated § 65	ed as toll-free a
⊠ Yes	No				
	oliance w	ith T.C.A. § 65		maintained by Be procedures used t	
⊠ Yes	☐ No				
please expl	ain techn	nically, what pro	ocedures the App	C.A. § 65-21-114? Indicant will use to in the pages if necessate.	sure it will not
Applicant w	201 - L. C. S.		T400 1 0 1	base in the event A	\

## Part III: Organization Structure

A.	Applicant's organizational structure			re		
	$\boxtimes$	Corporation	prporation			
<ul> <li>□ Publicly Traded Corporation</li> <li>□ Subsidiary of a Publicly Traded Corporation</li> <li>□ Limited Liability Corporation</li> <li>■ Attach a copy of the articles of organization and operating agreement along with amendm</li> <li>□ Other Form of Corporation</li> </ul>						
		List type _ Attach a cop	y of the charter, bylaws a	(Example S Corporation)		
		Applicant's Articles of Organization are attached as Exhibit F. Applicant is a Single Member LLC.				
		Assoc	iation	Attach a copy of the charter, bylaws and/or certificate of incorporation and Letter of Authorization from Tennessee		
		Joint S	Stock Association	Secretary of State.  Attach a copy of the charter, bylaws and/or certificate of incorporation and Letter of Authorization from Tennessee Secretary of State.		
		Trust		Attach a copy of the trust agreement and Letter of Authorization from Tennessee Secretary of State.		
		Individ	lual	Attach a copy of the Letter of Authorization from Tennessee Secretary of State.		
SECT	ION	(a)-(e) is t	o be completed if a	applicant is a Corporation, Association or Trust		
	(a) The date and state of formation/incorporation: May 1, 2008/Delaware					
		(1)	Parent Company,	if applicable		
	(b)	Attach a certificate of good standing from the state in which the applicant was incorporated/formed.				
		Attacl	ned as Exhibit G.			
		(1)		certification of Authority issued by Tennessee Secretary corporation's authority to engage in business in		
			Attached as Exhi	bit H.		
	(c)	parent		tructure of the applicant, including the identity of any applicant. Disclose whether any parent or subsidiary is ck exchange.		
Applicant is a LLC duly organized in the State of Delaware no subsidiary or parent company. Applicant is a single m not publicly traded.						

(d) Provide the history of material litigation and criminal convictions of eve director, executive officer, or key shareholder of the applicant for the period prior to the date of this application.					
	The directors, executive officers, or key shareholders of Applicant have history of material litigation or criminal convictions for the ten-year perior to the date of this application.				
	(e)	If applicable, attach a copy of the instrument creating the trust and all amendments thereto:			
В.	<ul> <li>□ Proprietorship</li> <li>□ Partnership</li> <li>□ General Attach a copy of the partnership agreement along with any amendments.</li> <li>□ Limited Attach a copy of the certificate of limited partnership and the partnership agreement along with any amendments.</li> <li>□ Other (Explain on separate sheet)</li> </ul>				
	All of t	he above will be required to submit a valid business license.			
		(a) Identify the place and date of the applicant's qualifications to provide telecommunications services in this state.			
		(b) List the full name, social security number and address of the owners, if a sole proprietorship, or all partners identifying the percentage of ownership: ATTACH ADDITIONAL PAGES AS NECESSARY			
C.	Numbe	er of employees: Applicant has 17 employees.			
	Employer Identification Number (E.I.N.) 26-2643224				

## Part IV: Financial Information

A.	Addr	Address where business records are kept:					
	1221 STREE	Post Rd. E., Westport, CT 06880	CITY STATE ZIP CODE	(203) 221-8700 PHONE NUMBER			
В.	finan the a copy	Attach a copy of the applicant's most recent unconsolidated and consolidated audited financial statements for the immediately preceding three-year period. Provide in detaithe applicant's financial condition, including balance sheet and income statement, or a copy of IRS form 1120 or 1065 filed by your business for the previous year. Attach, i available, a copy of your company's 10K and/or stockholder reports.					
	A co	py of Applicant's most recent f	inancial statements is a	attached as Exhibit I.			
	(1)	Fiscal year end: Month		Day			
	(2)	Date of most recent audited, unconsolidated financial statement of Applicant:					
	(3)	If applicable, name and addres	ss of independent certified	d public accountant:			
	(4)	Period covered by financial sta	atement attached:				
C.		Does the applicant currently have an internal auditor and/or internal audit program? If so, Name of internal auditor <b>n/a</b>					
D.	If applicable, provide a history of applicant's material litigation and criminal confor the ten-year period prior to the date this application is made. Material litig defined as any litigation that, according to generally accepted accounting princideemed significant to a person's financial health and would be required to be refer in annual audited financial statements, reports to shareholders or similar documer						
	Not F	applicable					

## Part V: Rule Compliance Agreement

A.	Attach a copy of a Small and Minority-Owned Telecommunications Business Participation Plan Pursuant to Tennessee Code Annotated § 65-5-212.					
	Attached as Exhibit J.					
A.	Have you read and understand the Tennessee Regulatory Authority's (TRA) Rules and Regulations for Resellers, 1220-4-2 located at the TRA's website <a href="http://www.state.tn.us/tra">http://www.state.tn.us/tra</a> electronic fileroom in its entirety?					
	⊠ Yes □ No					
B.	Do you understand the penalties for non-compliance, and all associated fees to provide such service?					
	⊠ Yes □ No					
	e completed application and a check for \$50.00 to: Tennessee Regulatory Authority, <b>P.O. Box 7, Nashville, TN 37219-8907</b> . Should you have any questions, call (615) 741-7489, ext. 163.					
Attach	Attached please find the following:					
Exhibi	it K- IntraLATA Toll Dialing Parity Plan					
Exhibi	it L- Surety Bond or Letter of Credit					

The Reseller or Operator Service Provider applicant, hereby, affirms the following:

Will comply with the TRA Reseller Rules and all other applicable Authority Rules and state laws, including T.C.A. Section 65-5-206 located at the TRA's website http://www.state.tn.us/tra electronic fileroom under the External Site of Lexis Law Publishing.

Having been duly sworn, and under the penalties of perjury, I hereby certify that the representations in this RESELLER APPLICATION and all attachments and appendices are true and correct to the best of my knowledge and belief. I further understand that omissions or inaccuracies may result in denial of the APPLICATION and grounds for revocation of Certificate of Authority.

For Individual and Partners:	
Signature	Signature
PRINTED NAME	PRINTED NAME
Signature	Signature
PRINTED NAME	PRINTED NAME
For Corporations and Other Organizations	ALLIANCE GLOBAL NETWORKS LLC
ВУ	(NAME OF CORPORATION)  SIGNATURE  (NAME OF CORPORATION)
	Mary O'Keeffe PRINTED NAME
	Treasurer TITLE
ATTE	Short & Holan
	TITLE
application, being duly sworn according	person(s) named in, and who executed the foregoing to law, deposes and says that the statements and application are true and correct to the best of her
STUART D. HOLDEN	NOTARY PUBLIC:
NOTARY PUBLIC MY COMMISSION EXPIRES MAR. 31, 2009	My Commission Expires:

## **EXHIBIT A**

## Background and Experience of Officers/Stockholders

#### Jess DiPasquale

President/CEO 1221 Post Rd. E. Westport, CT 06880 (203) 221-8700 (100% owner)

#### **Kay Cassidy**

Vice President 1221 Post Rd. E. Westport, CT 06880 (203) 221-8700

#### Mary O'Keeffe

CFO/Treasurer 1221 Post Rd. E. Westport, CT 06880 (203) 221-8700

#### Stuart Holden

Secretary 1221 Post Rd. E. Westport, CT 06880 (203) 221-8700

EMPLOYMENT HISTORY: See following.

## Jess DiPasquale - President/CEO of Alliance Global Networks, LLC

In addition to serving as the President of Alliance Global Networks, LLC, Mr. DiPasquale also currently serves as the President, CEO, and Director of Alliance Group Services, Inc. ("AGSi"). AGSi is a leading provider of wholesale network services to telecom resellers and CLECs. Mr. DiPasquale leads the strategic planning and financial growth for both Alliance Global Networks, LLC and AGSi. Mr. DiPasquale served in several capacities with AGSi over the last 15 years, prior to taking the lead position. Under Mr. DiPasquale's leadership, AGSi grew significantly and positioned itself as a unique service oriented Telecommunications Company. Mr. DiPasquale has over 25 years of business management experience in key Fortune 500 corporations. In particular, prior to joining AGSi, Mr. DiPasquale worked in senior positions for packaged goods companies such as PepsiCo, Seagrams, and Whitbread. Mr. DiPasquale is a graduate of Syracuse University with a BS Degree from the Newhouse School of Communications.

#### Mary B. O'Keeffe-CFO/Treasurer of Alliance Global Networks, LLC

In addition to serving as the Treasurer of Alliance Global Networks, LLC, Ms. O'Keeffe also currently serves as the Chief Financial Officer of Alliance Group Services, Inc. ("AGSi"). Ms O'Keefe has over 20 year's experience in all aspects of accounting and financial reporting, including 11 years in public accounting with KPMG, followed by tenures in Vice President level financial positions with several well known companies. As Chief Financial Officer with AGSi, Ms. O'Keefe oversees financial reporting, banking relationships, and employee benefits. Ms. O'Keefe serves a similar capacity with Alliance Global Networks, LLC.

## Stuart Holden-Secretary of Alliance Global Networks, LLC

In addition to serving as the Secretary of Alliance Global Networks, LLC, Mr. Holden also currently serves as the Vice-President and Chief Technology Officer of Alliance Group Services, Inc. ("AGSi"). Mr. Holden brings 25 years of experience in the management and design of complex telecommunications systems to Alliance Global Networks, LLC. In his capacity as Vice-President and Chief Technology Officer with AGSi over the past 15 years, Mr. Holden has been responsible for building AGSi's provisioning services, wholesale billing, and internal information systems. Prior joining AGSi, Mr. Holden held various positions with SNET and ITT. At SNET, Mr. Holden directed and developed custom network systems providing fraud protection, database billing, and other SS-7 network services to all three carrier tiers. At ITT, Mr. Holden worked on central office software development projects in England, Belgium, and Germany, focusing on special features and system verification. Mr. Holden is an Honors Graduate of Reading University, England, with a BSC in Cybernetics and Instrument Physics.

#### Kay Cassidy – Vice-President of Alliance Global Networks, LLC

In addition to serving as the Vice-President of Alliance Global Networks, LLC, Ms. Cassidy also currently serves as the Vice-President of Account Operations of Alliance Group Services, Inc. ("AGSi"). Ms Cassidy brings a wealth of technical knowledge with her to Alliance Global Networks, LLC. Most of this knowledge has been gained during her 10 years in various operating positions with AGSi, including provisioning, marketing, account management and regulatory matters.

## EXHIBIT B

## Jurisdictions Where Applicant is Authorized to Operate

Applicant is authorized to provide telecommunications services within the following jurisdictions: Arizona, Arkansas, California, Colorado, Connecticut, District of Columbia, Florida, Georgia, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oregon, Pennsylvania, Rhode Island, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin and Wyoming.

## **EXHIBIT C**

**Tariff** 

<b>TENNESSEE</b>	TELECON	<b>MILINICA</b>	ZIONS	TARIFF
TEMMESSEE	LLLCON	VIIVIOINICE	CITOTIS	IAKIII

This Tariff contains the descriptions, regulations, and rates applicable to the furnishing of service and facilities for telecommunications services within the State of Tennessee by ALLIANCE GLOBAL NETWORKS LLC ("Company"). This Tariff is on file with the Tennessee Regulatory Authority, and copies may also be inspected, during normal business hours, at the following location: 1221 Post Rd. E., Westport, CT 06880.

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### **CHECK SHEET**

The title page and pages 1-36 inclusive of this Tariff are effective as of the date shown. Original and revised sheets, as named below, comprise all changes from the original Tariff in effect on the date indicated.

<u>SHEET</u>	<u>REVISION</u>	<u>SHEET</u>	<u>REVISION</u>
1	Original	21	Original
2	Original	22	Original
3	Original	23	Original
4	Original	24	Original
5	Original	25	Original
6	Original	26	Original
7	Original	27	Original
8	Original	28	Original
9	Original	39	Original
10	Original	30	Original
11	Original	31	Original
12	Original	32	Original
13	Original	33	Original
14	Original	34	Original
15	Original	35	Original
16	Original	36	Original
17	Original		
18	Original		
19	Original		
20	Original		

<sup>\*</sup> New or Revised Sheets

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### CONCURRING, CONNECTING AND OTHER PARTICIPATING CARRIERS

#### **CONCURRING CARRIERS:**

No Concurring Carriers

#### **CONNECTING CARRIERS:**

No Connecting Carriers

#### OTHER PARTICIPATING CARRIERS:

No Participating Carriers

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### **TARIFF FORMAT**

Sheet Numbering - Sheet numbers appear in the upper right hand corner of the page. Sheets are numbered sequentially and from time to time new pages may be added to the Tariff. When a new page is added between existing pages, a decimal is added to the preceding page number. For example, a new page added between Sheets 3 and 4 would be numbered 3.1.

Paragraph Numbering Sequence - There are nine levels of paragraph coding. Each level of coding is subservient to its next higher level:

2. 2.1. 2.1.1. 2.1.1.A. 2.1.1.A.1. 2.1.1.A.1.(a). 2.1.1.A.1.(a).I. 2.1.1.A.1.(a).I.(i). 2.1.1.A.1.(a).I.(i).

Check Sheets - When a Tariff filing is made with the TRA, an updated check sheet accompanies the Tariff filing. The check sheet lists the pages contained in the Tariff, with a cross reference to the current revision number. When new pages are added, the check sheet is changed to reflect the revision, all revisions made in a given filing are designated by an asterisk (\*). There will be no other symbols used on this page if these are the only changes made to it. The Tariff user should refer to the latest check sheet to find out if a particular page is the most current on file with the TRA.

Issued: Effective:

#### **APPLICABILITY**

This Tariff contains the Service offerings, rates, terms and conditions applicable to the furnishing of intrastate interexchange telecommunications services within the State of Tennessee by ALLIANCE GLOBAL NETWORKS LLC ("Company").

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### **EXPLANATION OF SYMBOLS**

- (D) To signify discontinued material
- (I) To signify a rate or charge increase
- (M) To signify material relocated without change in text or rate
- (N) To signify new material
- (R) To signify a reduction
- (T) To signify a change in text but no change in rate or regulation

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

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Issued: Effective:

Issued by: Jess DiPasquale, President
ALLIANCE GLOBAL NETWORKS LLC

Effective:

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Issued: Issued by:

Jess DiPasquale, President ALLIANCE GLOBAL NETWORKS LLC

#### 1. TECHNICAL TERMS AND ABBREVIATIONS

For the purpose of this Tariff, the following definitions will apply:

#### **Access Coordination**

Provides for the design, ordering, installation, coordination, pre-service testing, service turn-up and maintenance on a Company or Customer provided Local Access Channel.

#### **Administrative Change**

A change in Customer billing address or contact name.

#### Alternate Access

Alternate Access is a form of Local Access except that the provider of the Service is an entity, other than the Local Exchange Carrier, authorized or permitted to provide such Service. The charges for Alternate Access may be subject to private agreement rather than published or special tariff if permitted by applicable governmental rules.

#### **Application for Service**

A standard Company order form that includes all pertinent billing, technical and other descriptive information that will enable the Company to provide a communication Service as required.

#### **ASR**

ASR (Access Service Request) means an order placed with a Local Access Provider for Local Access.

#### Authorized User

A person, firm, corporation or other entity that either is authorized by the Customer to receive or send communications or is placed in a position by the Customer, either through acts or omissions, to send or receive communications.

#### Bandwidth

The total frequency band, in hertz, allocated for a channel.

#### Bill Date

The date on which billing information is compiled and sent to the Customer.

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#### Call

A completed connection between the Calling and Called Stations.

#### Called Station

The telephone number called.

#### **Calling Station**

The telephone number from which a Call originates.

#### Cancellation of Order

A Customer initiated request to discontinue processing a Service order, either in part or in its entirety, prior to its completion. Cancellation charges will be assessed for each Circuit-end or Dedicated Access line canceled from an order prior to its completion by the Company, under the following circumstances: (1) if the LEC has confirmed in writing to the Company that the Circuit-end or Dedicated Access line will be installed; or (2) if the Company has already submitted facilities orders to an interconnecting telephone company.

#### **Channel or Circuit**

A dedicated communications path between two or more points having a Bandwidth or Transmission Speed specified in this Tariff and selected by a Customer.

#### Company

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#### Company Recognized National Holidays

The following are Company Recognized National Holidays determined at the location of the originator of the Call: New Year's Day, Martin Luther King Day, President's Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Veteran's Day, Thanksgiving Day, Christmas Day. The evening rate is used unless a lower rate would normally apply. When a Call begins in one rate period and ends in another, the rate in effect in each rate period applies to the portion of the Call occurring within that rate period. In the event that a minute is split between two rate periods, the rate in effect at the start of that minute applies.

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Westport, CT 06880

#### Customer

The person, firm, corporation or governmental unit which orders Service and which is responsible for the payment of charges and for compliance with the Company's Tariff regulations. A Customer is considered to be an account for billing purposes. The term Customer also includes an entity that remains presubscribed to the Company Service after its account(s) are removed from the Company's billing system, subsequently continues to use Company's network, and is billed by a local exchange carrier for such use, or otherwise uses Service for which no other Customer is obligated to compensate Company.

#### Customer Premises/Customer's Premises

Locations designated by a Customer where Service is originated/terminated whether for its own communications needs or for the use of its resale customers.

#### **DCS**

DCS means Digital Cross-Connect System.

#### **Dedicated Access/Special Access**

Dedicated Local Access between the Customer's Premises or serving wire center and the Company's Point-of-Presence for origination or termination of Calls.

#### DS-0

DS-0 means Digital Signal Level 0 Service and is a 64 Kbps signal.

#### DS-1

DS-1 means Digital Signal Level 1 Service and is a 1.544 Mbps signal.

#### DS-0 with VF Access

DS-0 Service with VF Local Access facilities provides for the transmission of analog voice and/or data within 300 Hz to 3000 Hz frequency range.

#### DS-0 with DDS Access

DS-0 Service with DDS Access facilities provides for the transmission of digital data at speeds 2.4, 4.8, 9.6 or 56 Kbps.

#### Due Date

The Due Date is the date on which payment is due.

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#### **Expedite**

A Service order initiated at the request of the Customer that is processed in a time period shorter than the Company's standard Service interval.

#### FCC

Federal Communications Commission

#### **Individual Case Basis (ICB)**

Individual Case Basis (ICB) determinations involve situations where complex Customer-specific Company arrangements are required to satisfactorily serve the Customer. The nature of such Service requirements makes it difficult or impossible to establish general tariff provisions for such circumstances. When it becomes possible to determine specific terms and conditions for such offerings, they will be offered pursuant to such terms and conditions.

#### **Installation**

The connection of a Circuit, Dedicated Access line, or port for new, changed or an additional Service.

#### Interexchange Service

Interexchange Service means that portion of a communications channel between a Company-designated Point-of-Presence in one exchange and a Point-of-Presence in another exchange.

#### Interruption

Interruption shall mean a condition whereby the Service or a portion thereof is inoperative, beginning at the time of notice by the Customer to Company that such Service is inoperative and ending at the time of restoration.

#### **Kbps**

Kilobits per second.

#### LATA (Local Access Transport Area)

A geographical area established for the provision and administration of communications Service of a local exchange company.

#### Local Access

Local Access means the Service between a Customer Premises and a Company designated Point-of-Presence.

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#### Local Access Provider

Local Access Provider means an entity providing Local Access.

#### Local Exchange Carrier (LEC)

The local telephone utility that provides telephone exchange services.

#### Mbps

Megabits per second.

#### Multiplexing

Multiplexing is the sequential combining of lower bit rate Private Line Services onto a higher bit rate Private Line Service for more efficient facility capacity usage or vice versa.

#### N/A

Not available.

#### Nonrecurring Charges

Nonrecurring Charges are one-time charges.

#### Payment Method

The manner that the Customer designates as the means of billing charges for Calls using the Company's Service.

#### Physical Change

The modification of an existing Circuit, Dedicated Access line or port, at the request of the Customer, requiring some Physical Change or retermination.

#### Point-of-Presence (POP)

A Company-designated location where a facility is maintained for the purpose of providing access to its Service.

#### **Primary Route**

The route that in the absence of Customer-designated routing or temporary re-routing, would be used by the Company in the provision of Service.

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Private Line

A dedicated transmission channel furnished to a customer without intermediate switching

arrangements for full-time customer use.

Private Line Service

A dedicated full-time transmission Service utilizing dedicated access arrangements.

Rate Center

A specified geographical location used for determining mileage measurements.

Requested Service Date

The Requested Service Date is the date requested by the Customer for commencement of Service and

agreed to by the Company.

Restore

To make Service operative following an interruption by repair, reassignment, re-routing, substitution

of component parts, or otherwise, as determined by the carrier(s) involved.

Route Diversity

Two channels that are furnished partially or entirely over two physically separate routes.

<u>Service</u>

Service means any or all Service(s) provided pursuant to this Tariff.

Service Commitment Period

The term elected by the Customer and stated on the Service order during which the Company will provide the Services subscribed to by the Customer. The term can be monthly or in the case of

Private Line Services for a period of up to 5 years.

Special Promotional Offerings

Special trial offerings, discounts, or modifications of its regular Service offerings that the Company may, from time to time, offer to its Customers for a particular Service. Such offerings may be limited

to certain dates, times, and locations.

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#### Start of Service Date

The Requested Service Date or the date Service first is made available by the Company whichever is later.

#### Tariff

The current Intrastate Services Tariff and effective revisions thereto filed by the Company with the TRA.

#### **TRA**

Tennessee Regulatory Authority

#### **Transmission Speed**

Data transmission speed or rate, in bits per seconds (bps).

#### **Two-Way Conversation**

A Two-Way Conversation is a telephone conversation between or among two or more parties.

#### VF

VF is voice frequency or voice-grade Service designed for private-line Service. Normal transmission is in the 300 hertz to 3000-hertz frequency band.

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#### 2. RULES AND REGULATIONS

- 2.1. <u>Description and Limitations of Services</u>
- 2.1.1. Intrastate Telecommunications Service ("Service") is the furnishing of Company communication Services contained herein between specified locations under the terms of this Tariff.
- 2.1.2. Any member of the general public (including any natural person or legally organized entity such as a corporation, partnership, or governmental body) is entitled to obtain Service under this Tariff, provided that the Company reserves the right to deny Service: (A) to any Customer that, in the Company's reasonable opinion, presents an undue risk of nonpayment, (B) in circumstances in which the Company has reason to believe that the use of the Service would violate the provisions of this Tariff or any applicable law or if any applicable law restricts or prohibits provision of the Service, or (C) if insufficient facilities are available to provide the Service (in such cases Company shall make best efforts to accommodate the needs of all potential Customers by means of facility improvements or purchases, of capacity, if such efforts will, in the Company's opinion, provide the Company with a reasonable return on its expenditures), but only for so long as such unavailability exists.
- 2.1.3. Company, when acting at the Customer's request and as its authorized agent, will make reasonable efforts to arrange for Service requirements, such as special routing, Diversity, Alternate Access, or circuit conditioning.
- 2.1.4. Service is offered in equal access exchanges subject to the availability of facilities and the provisions of this Tariff. Company reserves the right to refuse to provide Service to or from any location where the necessary facilities and/or equipment are not available.
- 2.1.5. Service may be discontinued after five business days written notice to the Customer if:
  - 2.1.5.A. the Customer is using the Service in violation of this Tariff; or
  - 2.1.5.B. the Customer is using the Service in violation of the law or TRA regulation.
- 2.1.6. Service begins on the date that billing becomes effective and is provided on the basis of a minimum period of at least one month, 24 hours per day. For the purposes of computing charges in this Tariff, a month is considered to have 30 days.
- 2.1.7. Service will be provided until canceled, by the Customer on not less than thirty (30) days' written notice from the date of postmark on the letter giving notice of cancellation.
- 2.1.8. Nothing herein, or in any other provision of this Tariff, or in any marketing materials issued by the Company shall give any person any ownership, interest, or proprietary right in any code or 800 number issued by the Company to its Customers.

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- 2.1.9. The Company reserves the right to discontinue furnishing Services or billing options, upon written notice, when necessitated by conditions beyond its control. Conditions beyond the Company's control include, but are not limited to, a Customer's having Call volume or a calling pattern that results, or may result, in network blockage or other Service degradation which adversely affects Service to the calling party, the Customer, or other Customers of the Company.
- 2.1.10. Except as otherwise provided in this Tariff or as specified in writing by the party entitled to receive Service, notice may be given orally or in writing to the persons whose names and business addresses appear on the executed Service order and the effective date of any notice shall be the date of delivery of such notice, not the date of mailing. By written notice, Company or Customer may change the party to receive notice and/or the address to which such notice is to be delivered. In the event no Customer or Company address is provided in the executed Service order, notice shall be given to the last known business address of Customer or, as appropriate.

#### 2.2. Other Terms and Conditions

- 2.2.1. The name(s) of the Customer(s) desiring to use the Service must be stipulated in the Application for Service.
- 2.2.2. The Customer agrees to operate the Company provided equipment in accordance with instructions of the Company or the Company's agent. Failure to do so will void the Company liability for interruption of Service and may make Customer responsible for damage to equipment pursuant to Section 2.2.3 below.
- 2.2.3. Customer agrees to return to the Company all Company-provided equipment delivered to Customer within five (5) days of termination of the Service in connection with which the equipment was used. Said equipment shall be in the same condition as when delivered to Customer, normal wear and tear only excepted. Customer shall reimburse the Company, upon demand, for any costs incurred by the Company due to Customer's failure to comply with this provision.
- 2.2.4. A Customer shall not use any service-mark or trademark of the Company or refer to the Company in connection with any product, equipment, promotion, or publication of the Customer without prior written approval of the Company.
- 2.2.5. In the event suit is brought or any attorney is retained by the Company to enforce the terms of this Tariff, the Company shall be entitled to recover, in addition to any other remedy, reimbursement for reasonable attorneys' fees, court costs, costs of investigation and other related expenses incurred in connection therewith.
- 2.2.6. The provision of Service will not create a partnership or joint venture between the Company and the Customer nor result in joint Service offerings to their respective Customers.

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- 2.2.7. The rate or volume discount level applicable to a Customer for a particular Service or Services shall be the rate or volume discount level in effect at the beginning of the monthly billing period applicable to the Customer for the particular Service or Services. When a Service is subject to a minimum monthly charge, account charge, port charge or other recurring charge or Nonrecurring Charge for both intrastate and interstate Service, only one such charge shall apply per account and that charge shall be the interstate charge.
- 2.2.8. Service requested by Customer and to be provided pursuant to this Tariff shall be requested on Company Service Order forms in effect from time to time or Customer's forms accepted in writing by an authorized headquarters representative of the Company (collectively referred to as "Service Orders").
- 2.2.9. If an entity other than the company (e.g., another carrier or a supplier) imposes charges on the Company in connection with a Service that entity's charges will be passed through to the Customer also.
- 2.2.10. The Service Commitment Period for any Service shall be established by the Service Order relevant thereto and commence on the Start of Service Date. Upon expiration, each Service Commitment Period for such Service shall automatically be extended subject to written notice of termination by either Company or Customer as of a date not less than thirty (30) days after delivery of said notice to the other. The charges for Interexchange Service during any such extension shall not exceed the then current Company month-to-month charges applicable to such Service.

#### 2.3. Liability

- 2.3.1. Except as provided otherwise in this Tariff, the Company shall not be liable to Customer or any other person, firm or entity for any failure of performance hereunder if such failure is due to any cause or causes beyond the reasonable control of the Company. Such causes shall include, without limitation, acts of God, fire, explosion, vandalism, cable cut, storm or other similar occurrence, any law, order, regulation, direction, action or request of the United States government or of any other government or of any civil or military authority, national emergencies, insurrections, riots, wars, strikes, lockouts or work stoppages or other labor difficulties, supplier failures, shortages, breaches or delays, or preemption of existing Services to restore service in compliance with Part 64, Subpart D, Appendix A, of the FCC's Rules and Regulations.
- 2.3.2. With respect to the Services contained herein and except as otherwise provided herein, the Company's liability shall not exceed an amount equal to the charge applicable to a one minute Call to the Called Station at the time the affected Call was made. If the initial minute rate is higher than the additional minute rate, the higher rate shall apply. For those Services with monthly recurring charges, the Company's liability is limited to an amount equal to the proportionate monthly recurring charges for the period during which Service was affected.

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- 2.3.3. The Company is not liable for any act or omission of any other company or companies (including any Company affiliate that is a participating or concurring carrier) furnishing a portion of the Service or facilities, equipment, or Services associated with such Service.
- 2.3.4. The Customer is responsible for taking all necessary legal steps for interconnecting the Customer provided terminal equipment with the Company facilities. The Customer shall ensure that the signals emitted into the Company's network do not damage Company equipment, injure personnel or degrade Service to other Customers. The Customer is responsible for securing all licenses, permits, rights-of-way, and other arrangements necessary for such interconnection. In addition, the customer shall comply with applicable LEC signal power limitations.
- 2.3.5. The Company may rely on Local Exchange Carriers or other third parties for the performance of other Services such as Local Access. Upon Customer request and execution and delivery of appropriate authorizing documents, the Company may act as agent for Customer in obtaining such other Services. Customer's liability for charges hereunder shall not be reduced by untimely Installation or non-operation of Customer provided facilities and equipment.
- 2.3.6. The failure to give notice of default, to enforce or insist upon compliance with any of the terms or conditions herein, the waiver of any term or conditions herein, or the granting of an extension of time for performance by the Company or the Customer shall not constitute the permanent waiver of any term or condition herein. Each of the provisions shall remain at all times in full force and effect until modified in writing.
- The Company shall not be liable to the Customer or any other person, firm or entity in any respect whatsoever as a result of mistakes, accidents, errors, omissions, interruptions, delays, or defects in Service (collectively "Defects"). Defects caused by or contributed to, directly or indirectly, by any act or omission of Customer or its customers, affiliates, agents, representatives, invitees, licensees, successors or assigns or which arise from or are caused by the use of facilities or equipment of Customer or related parties shall not result in the imposition of any liability whatsoever upon the Company, and Customer shall pay to the Company any reasonable costs, expenses, damages, fees or penalties incurred by the Company as a result thereof, including penalties incurred by the Company as a result thereof, including costs of Local Access Providers' labor and materials. In addition, all or a portion of the Service may be provided over facilities of third parties, or sold by third parties, and the Company shall not be liable to Customer or any other person, firm or entity in any respect whatsoever arising out of Defects caused by such third parties. THE COMPANY SHALL NOT BE LIABLE FOR ANY DIRECT, INDIRECT, CONSEQUENTIAL, SPECIAL, ACTUAL, PUNITIVE OR ANY OTHER DAMAGES, OR BUSINESS INTERRUPTION, FOR ANY LOST PROFITS OF ANY KIND OR NATURE WHATSOEVER ARISING OUT OF ANY DEFECTS OR ANY OTHER CAUSE. THE COMPANY'S LIABILITY, IF ANY, WITH REGARD TO THE DELAYED INSTALLATION OF THE COMPANY'S FACILITIES OR COMMENCEMENT OF SERVICE SHALL NOT EXCEED \$1,000. THIS WARRANTY AND THESE REMEDIES ARE EXCLUSIVE AND IN LIEU OF ALL OTHER WARRANTIES OR REMEDIES.

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Issued by: Jess DiPasquale, President
ALLIANCE GLOBAL NETWORKS LLC
1221 Post Rd. F.

WHETHER EXPRESS, IMPLIED OR STATUTORY, INCLUDING WITHOUT LIMITATION IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. IN THE EVENT OF AN INTERRUPTION IN SERVICE OR ANY DEFECT IN THE SERVICE WHATSOEVER, NEITHER COMPANY NOR ANY AFFILIATED OR UNAFFILIATED THIRD PARTY, THIRD PARTY PROVIDER OR OPERATOR OF FACILITIES EMPLOYED IN THE PROVISION OF THE SERVICE SHALL BE LIABLE FOR ANY DIRECT, INDIRECT, CONSEQUENTIAL, SPECIAL, ACTUAL, PUNITIVE OR ANY OTHER DAMAGES, OR FOR ANY LOST PROFITS OF ANY KIND OR NATURE WHATSOEVER.

- 2.3.8. With respect to the routing of Calls by the Company to public safety answering points or municipal Emergency Service providers, Company liability, if any, will be limited to the lesser of: (a) the actual monetary damages incurred and proved by the Customer as the direct result of the Company's action, or failure to act, in routing the Call, or (b) the sum of \$1,000.00.
- 2.3.9. In the event parties other than Customer (e.g., Customer's customers) shall have use of the Service directly or indirectly through Customer, then Customer agrees to forever indemnify and hold Company and any affiliated or unaffiliated third-party, third-party provider or operator of facilities employed in provision of the Service harmless from and against any and all claims, demands, suits, actions, losses, damages, assessments or payments which may be asserted by said parties arising out of or relating to any Defects.
- 2.3.10. In the event that Company is required to perform a Circuit redesign due to inaccurate information provided by the Customer; or, circumstances in which such costs and expenses are caused by the Customer or reasonably incurred by the Company for the benefit of the Customer, the Customer is responsible for the payment of all such charges.
- 2.4. <u>Cancellation of Service by a Customer</u>
- 2.4.1. If a Customer cancels a Service Order before the Service begins, before completion of the Minimum Period, or before completion of some other period mutually agreed upon by the Customer and the Company, a charge will be levied upon the Customer for the nonrecoverable portions of expenditures or liabilities incurred expressly on behalf of the Customer by the Company and not fully reimbursed by Installation and monthly charges. If, based on a Service order by a Customer, any construction has either begun or been completed, but no Services provided, the nonrecoverable costs of such construction shall be borne by the Customer.
- 2.4.2. Upon thirty (30) days' prior written notice, either Customer or Company shall have the right, without cancellation charge or other liability, to cancel the affected portion of the Service, if the Company is prohibited by governmental authority from furnishing said portion, or if any material rate or term contained herein and relevant to the affected Service is substantially changed by order of the highest court of competent jurisdiction to which the matter is appeal, the Federal Communications TRA, or other local, state or federal government authority.

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#### 2.5. <u>Cancellation for Cause by the Company</u>

- 2.5.1. Upon nonpayment of any sum owing to the Company, or upon a violation of any of the provisions governing the furnishing of Service under this Tariff, the Company may, upon five business days written notification to the Customer, except in extreme cases, without incurring any liability, immediately discontinue the furnishing of such Service. The written notice may be separate and apart from the regular monthly bill for service. Customer shall be deemed to have canceled Service as of the date of such disconnection and shall be liable for any cancellation charges set forth in this Tariff.
- 2.5.2. Without incurring any liability, the Company may discontinue the furnishing of Service(s) to a Customer upon five business days written notice if the Company deems that such action is necessary to prevent or to protect against fraud or to otherwise protect its personnel, agents, facilities or Services under the following circumstances, except under extreme cases where the customer may be disconnected immediately and without notice:
  - 2.5.2.A. if the Customer refuses to furnish information to the Company regarding the Customer's credit-worthiness, its past or current use of common carrier communications Services or its planned use of Service(s);
  - 2.5.2.B. if the Customer provides false information to the Company regarding the Customer's identity, address, credit-worthiness, past or current use of Customer communications Services, or its planned use of the Company Service(s);
  - 2.5.2.C. if the Customer states that it will not comply with a request of the Company for reasonable security for the payment for Service(s);
  - 2.5.2.D. if the Customer has been given five business days written notice in a separate mailing by the Company of any past due amount (which remains unpaid in whole or in part) for any of the Company's communications Services to which the Customer either subscribes or had subscribed or used:
  - 2.5.2.E. in the event of unauthorized use.
  - 2.5.2.F. following the disconnection of service for any of these reasons, the Company or the local exchange utility acting as Company agent, will notify the telephone user/customer that service was disconnected and why. The notice will include all reasons for the disconnection and will include a toll-free number where an end user/customer can obtain additional information. Notice shall be deemed given upon deposit, postage prepaid, in the U.S. Mail to the end user's/customer's last known address and in compliance with the TRA's rules.

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2.5.3. The discontinuance of Service(s) by the Company pursuant to this Section does not relieve the Customer of any obligations to pay the Company for charges due and owing for Service(s) furnished up to the time of discontinuance. The remedies set forth herein shall not be exclusive and the Company shall at all times be entitled to all rights available to it under either law or equity.

#### 2.6. <u>Credit Allowance</u>

- 2.6.1. Credit allowance for the interruption of Service is subject to the general liability provisions set forth in this Tariff. Customers shall receive no credit allowance for the interruption of service that is due to the Company's testing or adjusting, negligence of the Customer, or to the failure of channels or equipment provided by the Customer. The Customer should notify the Company when the Customer is aware of any interruption in Service for which a credit allowance is desired. Before giving such notice, the Customer shall ascertain that the trouble is not being caused by any action or omission within Customer's control, or is not in wiring or equipment, if any, furnished by the Customer in connection with the Company's Services.
- 2.6.2. No credit is allowed in the event service must be interrupted in order to provide routine service quality or related investigations.
- 2.6.3. No credit shall be allowed:
  - 2.6.3.A. For failure of services or facilities of Customer; or
  - 2.6.3.B. For failure of services or equipment caused by the negligence or willful acts of Customer.
- 2.6.4. Credit for an interruption shall commence after Customer notifies Company of the interruption and ceases when services have been restored.
- 2.6.5. Credits are applicable only to that portion of Service interrupted.
- 2.6.6. For purposes of credit computation, every month shall be considered to have 720 hours.
- 2.6.7. No credit shall be allowed for an interruption of a continuous duration of less than two hours.
- 2.6.8. The Customer shall be credited for an interruption of two hours or more at a rate of 1/720th of the monthly recurring charge for the service affected for each hour or major fraction thereof that the interruption continues. Calculations of the credit shall be made in accordance with the following formula.

Credit Formula: Credit = 
$$\frac{A}{720}$$
 X B

"A" = outage time in hours

"B" = total monthly charge for affected facility

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#### 2.7. Use of Service

- 2.7.1. The Services offered herein may be used for any lawful purpose, including residential, business, governmental, or other use. There are no restrictions on sharing or resale of Services. However, the Customer remains liable for all obligations under this Tariff notwithstanding such sharing or resale and regardless of the Company's knowledge of same. The Company shall have no liability to any person or entity other than the Customer and only as set forth in Section 2.3. The Customer shall not use nor permit others to use the Service in a manner that could interfere with Services provided to others or that could harm the facilities of the Company or others.
- 2.7.2. Service furnished by the Company may be arranged for joint use or authorized use. The joint user or Authorized User shall be permitted to use such Service in the same manner as the Customer, but subject to the following:
  - 2.7.2.A. One joint user or Authorized User must be designated as the Customer.
  - 2.7.2.B. All charges for the Service will be computed as if the Service were to be billed to one Customer. The joint user or Authorized User that has been designated as the Customer will be billed for all components of the Service and will be responsible for all payments to the Company. In the event that the designated Customer fails to pay the Company, each joint user or Authorized User shall be liable to the Company for all charges incurred as a result of its use of the Company's Service.
- 2.7.3. In addition to the other provisions in this Tariff, Customers reselling Company Services shall be responsible for all interaction and interface with their own subscribers or customers. The provision of the Service will not create a partnership or joint venture between Company and Customer nor result in a joint communications Service offering to the Customers of either the Company or the Customer.
- 2.7.4. Service furnished by the Company shall not be used for any unlawful or fraudulent purposes.
- 2.7.5. The Customer will be billed directly by the LEC for certain Dedicated Access arrangements selected by the Customer for the provisioning of direct access arrangements. In those instances where the Company at the Customer's request may act as agent in the ordering of such arrangements, the Company will bill the Customer Local Access charges.

#### 2.8. Payment Arrangements

2.8.1. The Customer is responsible for payment of all charges for Services furnished to the Customer or its joint or Authorized Users. This responsibility is not changed due to any use, misuse, or abuse of the Customer's Service or Customer provided equipment by third parties, the Customer's employees, or the public.

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- 2.8.2. The Company's bills are due upon receipt. Amounts not paid within 30 days from the Bill Date of the invoice will be considered past due. Customers will be assessed a late fee on past due amounts in the amount not to exceed the maximum lawful rate under applicable state law. If a Customer presents an undue risk of nonpayment at any time, the Company may require that Customer to pay its bills within a specified number of days and to make such payments in cash or the equivalent of cash.
- 2.8.3. In determining whether a Customer presents an undue risk of nonpayment, the Company shall consider the following factors: (A) the Customer's payment history (if any) with the Company and its affiliates, (B) Customer's ability to demonstrate adequate ability to pay for the Service, (C) credit and related information provided by Customer, lawfully obtained from third parties or publicly available, and (D) information relating to Customer's management, owners and affiliates (if any).
- 2.8.4. Disputes with respect to charges must be presented to the Company in writing within thirty days from the date the invoice is rendered or such invoice will be deemed to be correct and binding on the Customer.
- 2.8.5. If a LEC has established or establishes a Special Access surcharge, the Company will bill the surcharge beginning on the effective date of such surcharge for Special Access arrangements presently in Service. The Company will cease billing the Special Access surcharge upon receipt of an Exemption Certificate or if the surcharge is removed by the LEC.
- 2.8.6. In the event the Company incurs fees or expenses, including attorney's fees, in collecting, or attempting to collect, any charges owed the Company, the Customer will be liable to the Company for the payment of all such fees and expenses reasonably incurred.
- 2.8.7. Company will not require deposits or advance payments by Customers for Services.
- 2.9. Assignment
- 2.9.1. The obligations set forth in this Tariff shall be binding upon and inure to the benefit of the parties hereto and their respective successors or assigns, provided, however, the Customer shall not assign or transfer its rights or obligations without the prior written consent of the Company.
- 2.10. Tax and Fee Adjustments
- 2.10.1. All state and local taxes (i.e., gross receipts tax, sales tax, municipal utilities tax) are listed as separate line items and are not included in the quoted rates.
- 2.10.2. If at any future time a municipality acquires the legal right to impose an occupation tax, license tax, permit fee, franchise fee or other similar charge upon the Carrier, and imposes the same by ordinance or otherwise, such taxes, fees or charges shall be billed to the end users receiving service within the territorial limits of such municipality. Such billing shall allocate the tax, fee or charge among end users uniformly on the basis of each end user's monthly charges for the types of service made subject to such tax, fee or charge.

Issued: Effective:

- 2.10.3. If at any future time a county or other local taxing authority acquires the legal right to impose an occupation tax, license tax, permit fee, franchise fee or other similar charge upon the Carrier, and imposes the same by ordinance or otherwise, such taxes, fees or charges shall be billed to the end users receiving service within the territorial limits of such county or other taxing authority. Such billing shall allocate the tax, fee or charge among end users uniformly on the basis of each end user's monthly charges for the types of service made subject to such tax, fee or charge.
- 2.10.4. When utility or telecommunications assessments, franchise fees, or privilege, license, occupational, excise, or other similar taxes or fees, based on interstate or intrastate receipts are imposed by certain taxing jurisdictions upon the Company or upon local exchange companies and passed on to the Company through or with interstate or intrastate access charges, the amounts of such taxes or fees will be billed to Customers in such a taxing jurisdiction on a prorated basis. The amount of charge that is prorated to each Customer's bill is determined by the interstate or intrastate telecommunications service provided to and billed to an end user/customer service location in such a taxing jurisdiction with the aggregate of such charges equal to the amount of the tax or fee imposed upon or passed on to the Company.
- 2.10.5. When any municipality, or other political subdivision, local agency of government, or department of public utilities imposes upon and collects from the Company a gross receipts tax, occupation tax, license tax, permit fee, franchise fee or regulatory fee, such taxes and fees shall, insofar as practicable, be billed pro rata to the Company's Customers receiving service within the territorial limits of such municipality, other political subdivision, local agency of government, or public utility TRA.
- 2.10.6. The Company may adjust its rates and charges or impose additional rates and charges on its Customers in order to recover amount it is required by governmental or quasi-government authorities to collect from or pay to others in support of statutory or regulatory programs. Examples of such programs include, but are not limited to, the Universal Service Fund, the Primary Interexchange Carrier Charge, and compensation to payphone service providers for use of their payphones to access the Company's services.
- 2.11. Method for Calculation of Airline Mileage
- 2.11.1. The airline mileage between two cities can be calculated using the Vertical (V) and Horizontal (H) coordinates of the serving wire centers associated with the Company's POP locations. The method for calculating the airline mileage is obtained by reference to AT&T's Tariff F.C.C. No. 10 in accordance with the following formula:

the square root of: 
$$\frac{(V1 - V2)^2 + (H1 + H2)^2}{10}$$

where V1 and H1 correspond to the V&H coordinates of City 1 and V2 and H2 correspond to the V&H coordinates of City 2.

Issued: Effective:

Example: V H

City 1 5004 1406 City 2 5987 3424

the square root of:  $(5004-5987)^2 + (1406-3424)^2$ 

10

The result is 709.83 miles. Any fractional miles are rounded to the next higher whole number; therefore, the airline mileage for this example is 710 miles.

#### 2.12. <u>Time of Day Rate Periods</u>

2.12.1. Time of Day Rate Periods are determined by the time of day at the location of the Calling Station.

The rates shown in Section 4 apply as follows:

DAY: From 8:01 AM to 5:00 PM Monday - Friday

EVENING: From 5:01 PM to 11:00 PM Monday - Friday and Sunday

NIGHT/WEEKEND: From 11:01 PM to 8:00 AM Everyday

From 8:01 AM to 11:00 PM Saturday From 8:01 AM to 5:00 PM Sunday

#### 2.13. Special Customer Arrangements

2.13.1. In cases where a Customer requests a special or unique arrangement which may include engineering, conditioning, Installation, construction, facilities, assembly, purchase or lease of facilities and/or other special Services not offered under this Tariff, the Company, at this option, may provide the requested Services. Appropriate recurring charges and/or Nonrecurring Charges and other terms and conditions will be developed for the Customer for the provisioning of such arrangements.

#### 2.14. Inspection

2.14.1. The Company may, upon notice, make such tests and inspections as may be necessary to determine that the requirements of this Tariff are being complied with in the Installation, operation or maintenance of Customer or the Company equipment. The Company may interrupt the Service at any time, without penalty to the Company, should Customer violate any provision herein.

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### 3. DESCRIPTION OF SERVICES

- 3.1. Wide Area ("WATS") and Message ("MTS") Toll Services
- 3.1.1. The Company offers WATS and MTS intrastate interexchange long distance service utilizing switched or dedicated access arrangements between the Customers Premises and the Company's facilities for call origination. Call termination is completed through a combination of Company facilities and LEC switched access arrangements.
- 3.2. Switched Inbound Service
- 3.2.1. Switched inbound service permits inward calling (via 800 codes) to a specific location utilizing premium switched, Feature Group D access on both ends.
- 3.3. Switched Outbound Service
- 3.3.1. Switched outbound services permits outward calling utilizing premium switched Feature Group D access on both the originating and terminating ends.
- 3.4. <u>Dedicated Inbound Service</u>
- 3.4.1. Dedicated inbound service permits inward calling (via 800 codes) to a specific location featuring the use of a dedicated, special access type connection on the terminating end. The Customer shall be responsible for all LEC charges in addition to the Recurring, Non-recurring and Usage charges set forth hereinafter.
- 3.5. Dedicated Outbound Service
- 3.5.1. Dedicated outbound service permits outward calling to stations in diverse service areas. Dedicated outbound service is distinguished from other services by the existence of a dedicated, special access connection on one end. The Customer shall be responsible for all LEC charges in addition to the Recurring, Non-recurring and Usage charges set forth hereinafter.
- 3.6. <u>Calling Card Service</u>
- 3.6.1. The Company's Calling Card Service permits Customers to place long distance calls utilizing Company issued Calling Cards for billing purposes.

Issued: Effective:

#### 3.7. <u>Timing of Calls</u>

- 3.7.1. Long distance usage charges are based on the actual usage of the Company network. Chargeable time begins when a connection is established between the Calling Station and the Called Station. Chargeable time ends when either party "hangs up" thereby releasing the network connection.
- 3.7.2. Unless otherwise specified in this Tariff, the minimum call duration for billing purposes is sixty (60) seconds. In addition, unless otherwise specified in this Tariff, usage is measured thereafter in sixty (60) second increments and rounded to the next higher sixty (60) second period.
- 3.8. <u>Minimum Call Completion Rate</u>
- 3.8.1. A Customer can expect a call completion rate of not less than 90% during peak use periods for all services.

Issued: Effective:

#### 4. <u>RATES AND CHARGES</u>

- 4.1. <u>Usage Rates</u>
- 4.1.1. The following are the maximum per minute usage charges which apply to all calls. These charges are in addition to the Non-recurring Charges and Recurring Charges referred to herein.

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### 4.2. <u>Switched Inbound Usage Rates</u>

## BUSINESS DAY EVENING/NIGHT/WEEKEND

Mileage	Initial 60 Seconds	Additional 60 Seconds	
ALL	\$0.149	\$0.149	

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### 4.3. <u>Switched Outbound Usage Rates</u>

## BUSINESS DAY EVENING/NIGHT/WEEKEND

Mileage	Mileage Initial 60 Seconds	
ALL	\$0.149	\$0.149

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### 4.4 <u>Dedicated Inbound Usage Rates</u>

## BUSINESS DAY EVENING/NIGHT/WEEKEND

Mileage	Mileage Initial 60 Seconds	
ALL	\$0.149	\$0.149

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### 4.5 <u>Dedicated Outbound Usage Rates</u>

## BUSINESS DAY EVENING/NIGHT/WEEKEND

Mileage	Initial 60 Seconds	Additional 60 Seconds	
ALL	\$0.149	\$0.149	

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### 4.6. Calling Card Usage Rates

## BUSINESS DAY EVENING/NIGHT/WEEKEND

Mileage	Initial 60 Seconds	Additional 60 Seconds	
ALL	\$0.25	\$0.25	

Issued: Effective:

Issued by: Jess DiPasquale, President

ALLIANCE GLOBAL NETWORKS LLC

#### 4.7. <u>Recurring Charges</u>

4.7.1. Customers will incur the following recurring Charges:

[Reserved for Future Use.]

- 4.8. Non-recurring Charges
- 4.8.1. Customers will incur the following Non-recurring Charges:

[Reserved for Future Use.]

- 4.9. Special Promotional Offering
- 4.9.1. The Company may from time to time engage in Special Promotional Offerings or Trial Service Offerings limited to certain dates, times or locations designed to attract new subscribers or increase subscriber usage when approved by TRA. Company will not have special promotional offerings for more than 90 days in any 12-month period. In all such cases, the rates charged will not exceed those specified in Section 4 hereof.
- 4.10. Emergency Calls
- 4.10.1. Customer shall configure its PBX or other switch vehicle from which a customer places a call so that 911 emergency calls, where available, and similar emergency calls will be automatically routed to the emergency answering point for the geographical location where the call originated without the intervention of Company.
- 4.11. Payphone Use Service Charge
- 4.11.1. A Payphone Use Service Charge applies to each completed interLATA and intraLATA non-sent paid message made over a pay phone owned by a utility or Customer Owned Pay Telephone (COPT) Service. This includes calling card service, collect calls, calls billed to a third number, completed calls to Directory Assistance and Prepaid Card Service calls. This charge is collected on behalf of the pay phone owner. All Customers will pay the Company a per call service charge of \$0.30.
- 4.12. Universal Connectivity Charge
- 4.12.1. Services provided pursuant to this tariff are subject to an undiscountable monthly Universal Connectivity Charge. This monthly service charge is equal to 11% of the Customer's total net intrastate, interstate and international charges, after application of all applicable discounts and credits.

Issued: Effective:

- 4.12.1.A. The Company will waive the Universal Connectivity Charge with respect to specifically identified Company charges to the extent that the Customer demonstrates to the Company's reasonable satisfaction that:
  - 1. the Customer has filed a Universal Service Worksheet with the Universal Service Administrator covering the twelfth month prior to the month for which the Customer seeks the waiver;
  - 2. the charges with respect to which the waiver is sought are for services purchased by Customer for resale; and
  - 3. the Customer will file a Universal Service Worksheet with the Universal Service Administrator in which the reported billed revenues will include all billed revenues associated with the Customer's resale of services purchased from the Company.
- 4.12.1.B. The Universal Connectivity Charge will not be waived with respect to:
  - 1. charges for services purchased by the Customer for its own use as an end user; or
  - 2. charges for which the bill date is on, prior to, or within fifteen days after, the date on which the Customer applies for a waiver with respect to those charges.
- 4.13. Rates Applicable for Hearing/Speech Impaired Persons
- 4.13.1 A telephone toll message which is communicated using a telecommunications device for the deaf (TDD) by properly certified hearing or speech impaired persons or properly certified business establishments or individuals equipped with TDDs for communicating with hearing or speech impaired persons will receive upon request credit on charges for all intrastate-interLATA toll calls placed between TDDs. The credit to be given on a subsequent bill for such calls placed between TDDs will be 50% of the billed charges.

Issued: Effective:

### **EXHIBIT D**

Sample Bill



Your Wholesale Network Provider

Customer Number:

223

Invoice Number:

13019

Invoice Date:

08/02/2008

Due Date:

09/01/2008

Total Due:

\$117,645.97

Remit To:

Alliance Global Networks, LLC ...

1224 Post Road East

Westport, CT 06880

For Assistance Call (203) 221-8700

#### INVOICE SUMMARY

#### Activity-through 07/31/2008

Prior Balance

Payments Received

Credits

New Prior Balance

#### **Current Month Charges**

**Network Services** 

Other Charges

Other Adjustments

Interest Charges

#### **Current Invoice Total**

#### Total Due:

Payment is due thirty days from receipt. Interest charges of 1.5 % are based on all amounts not paid within thirty days. Wire payments can be made to Webster Bank. Routing Number (ABA#) 211170101. Account Number 0009893315.



# Alliance Global Networks, LLC Other Charges and Adjustments

Reseller No.

223

Invoice No.

13019 08/02/2008

Description	Quantity	Rate	Charge
Charges	Address of the Control of the Contro		
Admin			
User System Charge	1		
Circuit			
MUX charges DS3 from TSA	1		
·			



## Alliance Global Networks, LLC **Network Services Summary**

our	Wholesale	Network	Provider

Resell	er No.	e
--------	--------	---

223

Invoice No.

13019 08/02/2008

**Usage Charges** Cost Usage Calls Service Carrier Terminated International Interstate Intrastate Sub - Total: Carrier Term DA Interstate Intrastate Sub - Total: Dedicated Toll-Free International Interstate Intrastate Sub - Total: Grand Total

## EXHIBIT E

**Letter of Agency** 

For each of the telephone numbers listed herein, I appoint ALLIANCE GLOBAL NETWORKS LLC, to act as my agent in order to effectuate the collection of account information and/or carry out the changes authorized herein on my behalf. I understand that I may select a separate local exchange carrier, intraLATA toll carrier, and interLATA carrier for any one telephone number.

I hereby authorize the change of my communications company(s) from that/those, which I am currently using, to ALLIANCE GLOBAL NETWORKS LLC for each of the service types I have designated below.

I understand that by signing this letter of agency my signature shall unblock my carrier of choice service protection to make the change in long distance service possible. I also understand that I may incur a fee to switch my telephone service to ALLIANCE GLOBAL NETWORKS LLC I further understand that I may designate only one carrier per service for any one telephone number, and therefore revoke any previous appointments or selections concerning the telephone numbers listed below.

number, and there	efore revoke	any previous appointments or s	elections co	oncerning the telephor	ne numbers listed below.
Please designate	the telecomn	munications services for the tele	phone num	bers listed below by n	narking the appropriate boxes:
Local Exchange	Services On	INTERLATA / INTERS INTRALATA	TATE / IN	TERNATIONAL	
I authorize disclos billing address, d feature subscript	sure to ALLIA lirectory listin tion, long	NCE GLOBAL NETWORKS LL	ustomer pro id pending	oprietary network info service order ac	luding my account billing name, ormation, including service and tivity. Please designate the e boxes:
		LOCAL EXCHANGE S	ERVICES		
		is agreement will remain i			
		y the customer or ALLIAN			
I select ALLIANC telephone number	CE GLOBAL rs listed herei	NETWORKS LLC to provide in. (List ALL BTNs, lines, and to	the comm	unications service ty pers)	pes indicated for each of the
Name					
Address					
					Zip
Your signature ac	knowledges ED TO MAKE	that you understand and acce E THE CHANGE(S) INDICATED	pt the term DBY EXEC	s and conditions of t UTING THIS L.O.A.	his L.O.A. and that YOU ARE
		Customer Acceptance			OBAL NETWORKS LLC Acceptance
Signature					
Printed Name					
Title					
Date					

## EXHIBIT F

**Articles of Organization** 

and

Bylaws



PAGE 1

#### The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT
COPY OF THE CERTIFICATE OF FORMATION OF "ALLIANCE GLOBAL
NETWORKS LLC", FILED IN THIS OFFICE ON THE FIRST DAY OF MAY,
A.D. 2008, AT 4:41 O'CLOCK P.M.

4541885 8100

080495210

Darriet Smith Hindson

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6563894

DATE: 05-02-08

You may verify this certificate online at corp.delaware.gov/authver.shtml

State of Delaware Secretary of State Division of Corporations Delivered 05:03 PM 05/01/2008 FILED 04:41 PM 05/01/2008 SRV 080495210 - 4541885 FILE

#### CERTIFICATE OF FORMATION

OF

#### ALLIANCE GLOBAL NETWORKS LLC

- The name of the limited liability company is Alliance Global Networks LLC (the "Company").
- The Company's registered office in the State of Delaware is 2711
   Centerville Road, Suite 400, Wilmington, Delaware 19808.
- 3. The name and address of the registered agent for service of process on the Company is Corporation Service Company, 2711 Centerville Road, Suite 400, Wilmington, Delaware 19808.

IN WITNESS WHEREOF, the undersigned, being the authorized person forming the Company, has executed this Certificate of Formation this 1st day of May, 2008.

John H. Lawrence, Jr., Esq.

Authorized Person

506379 v.01

## EXHIBIT G

**Certificate of Good Standing** 

Secretary of State
Division of Business Services
312 Rosa L. Parks Avenue
6th Floor, William R. Snodgrass Tower
Nashville, Tennessee 37243

TO: PATRICK D CROCKER 107 W MICHIGAN AVE 4TH FL KALAMAZOO, MI 49007 ISSUANCE DATE: 09/22/2009 REQUEST NUMBER: 09265547 TELEPHONE CONTACT: (615) 741-6488

CHARTER/QUALIFICATION DATE: 10/08/2008 STATUS: ACTIVE CORPORATE EXPIRATION DATE: PERPETUAL CONTROL NUMBER: 0587987 JURISDICTION: DELAWARE

REQUESTED BY:
PATRICK D CROCKER
107 W MICHIGAN AVE
4TH FL
KALAMAZOO, MI 49007

#### CERTIFICATE OF AUTHORIZATION

I, TRE HARGETT, SECRETARY OF STATE OF THE STATE OF TENNESSEE DO HEREBY CERTIFY THAT
"ALLIANCE GLOBAL NETWORKS LLC",

A LIMITED LIABILITY COMPANY FORMED IN THE JURISDICTION SET FORTH ABOVE IS AUTHORIZED TO TRANSACT BUSINESS IN THIS STATE;
THAT ALL FEES, TAXES, AND PENALTIES OWED TO THIS STATE WHICH AFFECT THE AUTHORIZATION OF THE LIMITED LIABILITY COMPANY HAVE BEEN PAID;
THAT THE MOST RECENT LIMITED LIABILITY ANNUAL REPORT REQUIRED HAS BEEN FILED;
THAT AN APPLICATION FOR CERTIFICATE OF WITHDRAWAL HAS NOT BEEN FILED.

FOR: REQUEST FOR CERTIFICATE

CROCKER & CROCKERPC

KALAMAZOO, MI 49007-0000

107 W MICHIGAN AVE

ON DATE: 09/22/09

RECEIVED:

FEES

\$20.00 \$0.00

TOTAL PAYMENT RECEIVED:

\$20.00

TATTLETT REGETTED.

420.00

RECEIPT NUMBER: 00004671597 ACCOUNT NUMBER: 00642719



FROM:

4TH FL

TRE HARGETT SECRETARY OF STATE

SS-4458

## EXHIBIT H

Certificate of Authority Issued by the Secretary of State to Engage in Business in Tennessee Secretary of State
Division of Business Services
312 Eighth Avenue North
6th Floor, William R. Snodgrass Tower
Nashville, Tennessee 37243

DATE: 10/08/08
REQUEST NUMBER: 6388-0592
TELEPHONE CONTACT: (615) 741-2286
FILE DATE/TIME: 10/08/08 0829
EFFECTIVE DATE/TIME: 10/08/08 0829
CONTROL NUMBER: 0587987

TO: CORPORATION GUARANTEE AND TRUST CO 3331 STREET RD SUITE 110 BENSALEM, PA 19020

RE:
ALLIANCE GLOBAL NETWORKS LLC
APPLICATION FOR CERTIFICATE OF AUTHORITY LIMITED LIABILITY COMPANY

WELCOME TO THE STATE OF TENNESSEE. THE ATTACHED LIMITED LIABILITY COMPANY CERTIFICATE OF AUTHORITY HAS BEEN FILED WITH AN EFFECTIVE DATE AS INDICATED ABOVE.

A LIMITED LIABILITY COMPANY ANNUAL REPORT MUST BE FILED WITH THE SECRETARY OF STATE ON OR BEFORE THE FIRST DAY OF THE FOURTH MONTH FOLLOWING THE CLOSE OF THE LIMITED LIABILITY COMPANY'S FISCAL YEAR. ONCE THE FISCAL YEAR HAS BEEN ESTABLISHED, PLEASE PROVIDE THIS OFFICE WITH WRITTEN NOTIFICATION. THIS OFFICE WILL MAIL THE REPORT DURING THE LAST MONTH OF SAID FISCAL YEAR TO THE LIMITED LIABILITY COMPANY AT THE ADDRESS OF ITS PRINCIPAL OFFICE OR TO A MAILING ADDRESS PROVIDED TO THIS OFFICE IN WRITING. FAILURE TO FILE THIS REPORT OR TO MAINTAIN A REGISTERED AGENT AND OFFICE WILL SUBJECT THE LIMITED LIABILITY COMPANY TO ADMINISTRATIVE REVOCATION OF ITS CERTIFICATE OF AUTHORITY.

WHEN CORRESPONDING WITH THIS OFFICE OR SUBMITTING DOCUMENTS FOR FILING, PLEASE REFER TO THE LIMITED LIABILITY COMPANY CONTROL NUMBER GIVEN ABOVE.

FOR: APPLICATION FOR CERTIFICATE OF AUTHORITY - LIMITED LIABILITY COMPANY

ON DATE: 10/08/08

FROM: CORPORATE GUARANTEE AND TRUST COMPANY 3331 STREET ROAD SUITE 110 BENSALEM, PA 19020-0000 RECEIVED: FEES \$300.00

\$0.00

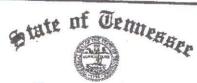
TOTAL PAYMENT RECEIVED:

\$300.00

RECEIPT NUMBER: 00004485319 ACCOUNT NUMBER: 00538567



RILEY C. DARNELL SECRETARY OF STATE



#### Bepartment of State

Corporate Filings
312 Eighth Avenue North
6th Floor, William R. Snodgrass Tower
Nashville, TN 37243

# APPLICATION FOR CERTIFICATE OF AUTHORITY (Limited Liability Company) (For use on and after 1/1/2006)

STATE REGETTIONS ONLY
2005 OCT -8 AM 8: 29
SECRETARY OF STATE

1445HVIIIC, 114 57243			1	1711
To the Secretary of State of the State	of Tennessee:			
Pursuant to the provisions of §48- hereby applies for a certificate of auth	249-904 of the Tennesse nority to transact busine	ee Revised Limited L ss in the State of Ten	iability Company Adnessee, and for that	ct, the undersigne
1. The name of the Limited Liability C	ompany is: ALLIANCE	E GLOBAL NETWO	RKS LLC	p-pose semiorul
If different, the name under which the				
NOTE: The Secretary of State of eign Limited Liability Con of the Tennessee Revised under an assumed Limite 249-106(d).	Limited Liability Co.	not comply with	the requirements	of § 48-249-106
2. The state or country under whose la	aw it is formed is: De 1	aware		
and its date of its formation is: <u>Ma</u>		*	month, day and y	ear)
3. The complete street address (includ	ing zip code) of its princ	ipal executive office	iç.	
1221 Post Road, East,	Westport, CT		06880	i
Street	City/State		71.5	
4. The complete street address (including 315 Deaderick Street, Sui	ing the county and the $z$ te $1100$ , Nashvi	ip code) of its registe	red office in Tennes dson 37238	see;
Street	City/State	County	Zip Code	
The name of its registered agent at that	office is: Joseph 1	Martin, Jr.		
5. If the provisions of TCA §48-249-309( section should be attached as part of	i) (relating to foreign savi	Mariant	the information requ	uired by that
6. The number of members at the date	of filing if more that slx	(6): N/A	E.	
7. If the limited liability company commodate of commencement (month, day	nenced doing business i		the approval of this	s application, the
NOTE: This application must be accurately authenticated by the S Company records in the state bear a date of more than tw	te or country under	arer ornicial riaving	custody of the L	imited Liability
9-12-08 Signature Date  C & O / Member Signer's Capacity	AL	LIANCE GLOBAL ne of Limited Liability	NETWORKS IIC	l,

SS-4233 (Rev. 01/06)

Name (typed or printed)
Filing Fee: \$50 per member / minimum fee=\$300, maximum fee=\$3,000

RDA 2458

Jess Di Pasquale

## EXHIBIT I

**Financials** 

3:03 PM 07/31/09 Accrual Basis

# Alliance Global Networks, LLC Balance Sheet

As of July 31, 2009

AGN

**Balance Sheet** 

	Jul 31, 09
ASSETS	
Current Assets	
Checking/Savings	
Cash	
Fairfield County -CD	252,389.55
Webster - MM	615,520.10
Webster Bank	45,144.36
Total Cash	913,054.01
Total Checking/Savings	913,054.01
Accounts Receivable	
Accounts Receivable	84,466.39
Total Accounts Receivable	84,466.39
Total Current Assets	997,520.40
Fixed Assets	
Furniture & Equipment	2,207.00
Total Fixed Assets	2,207.00
Other Assets	
Other Receivables	
Receivable from AGSI	78,780.90
Total Other Receivables	78,780.90
Total Other Assets	78,780.90
TOTAL ASSETS	1,078,508.30
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable	820,688.11
Total Accounts Payable	820,688.11
Other Current Liabilities	
Other Accrued Liabilities	500.00
Total Other Current Liabilities	500.00
Total Current Liabilities	821,188.11
Total Liabilities	821,188.11
Equity	
Paid in Capital	251,000.00
Retained Earnings	-30,276.01

3:03 PM 07/31/09 Accrual Basis

#### Alliance Global Networks, LLC Balance Sheet

As of July 31, 2009

 Net Income
 Jul 31, 09

 36,596.20

 Total Equity
 257,320.19

**TOTAL LIABILITIES & EQUITY** 

1,078,508.30

9:56 AM 08/05/09 Accrual Basis

## Alliance Global Networks, LLC Profit & Loss

January through July 2009

Alliance Global Networks LLC Income Statement

	Jan - Jul 09
Ordinary Income/Expense	Control of the Contro
Income	
Network Other	
Administration	1,500.00
Private Line	3,456 00
Total Network Other	4,956.00
Network Usage	
CTDA	147.55
CTInter	186,847 6
CTIntl	55,852.72
CTIntra	24.676 96
DedTFInter	247,224 36
DedTFIntl	5.117.97
DedTFIntra	14,305.53
Intl - AT&T	8,713.73
Total Network Usage	542.886 49
Total Income	547.842.49
Cost of Goods Sold	
Network Costs	
Usage Charges	
VOIP OB Intl	8,420 65
Usage Charges - Other	510,054.26
Total Usage Charges	518,474.91
Total Network Costs	518,474.91
Total COGS	518,474.91
Gross Profit	29,367.58
Expense	
Miscellaneous Charges	
Other Fees	-0.01
Total Miscellaneous Charges	-0.01
Payroll Expenses	
Temporary Help	1,768 02
Total Payroll Expenses	1,768 02
Regulatory & State Reg Fees	
Certification Fees	3.051 75
Compliance Fees	197 30
Corporate Registration fees	80 05
Regulatory & State Reg Fees - Other	50.00

9:56 AM 08/05/09 Accrual Basis

## Alliance Global Networks, LLC Profit & Loss

January through July 2009

	Jan - Jul 09
Total Regulatory & State Reg Fees	3,379.10
Tax Expense	
State Taxes & Assessments	700.00
Total Tax Expense	700.00
Total Expense	5,847.11
Net Ordinary Income	23.520.47
Other Income/Expense	
Other Income	
Bank Interest Income	6.939.67
Customer Interest Income	8,279.90
Total Other Income	15.219.57
Other Expense	
Income Taxes	
State Income Taxes	1,375.00
Total Income Taxes	1,375.00
Total Other Expense	1,375.00
Net Other Income	13,844.57
Net Income	37,365.04

### **EXHIBIT J**

## Small and Minority-Owned Telecommunications Business Participation Plan

# **ALLIANCE GLOBAL NETWORKS LLC**

SMALL AND MINORITY-OWNED TELECOMMUNICATIONS BUSINESS PARTICIPATION PLAN

Pursuant to T.C.A. §65-5-212, as amended, ALLIANCE GLOBAL NETWORKS LLC ("ALLIANCE") submits this small and minority-owned Telecommunications business participation plan (the "Plan") along with its Application for a Certificate of Public Convenience and Necessity to provide competing intrastate and local exchange services in Tennessee.

#### I. PURPOSE

The purpose of §65-5-212 is to provide opportunities for small and minority-owned businesses to provide goods and services to Telecommunications service providers. ALLIANCE is committed to the goals of §65-5-212 and to taking steps to support the participation of small and minority-owned Telecommunications businesses in the Telecommunications industry. ALLIANCE will endeavor to provide opportunities for small and minority-owned Telecommunications businesses to compete for contracts and subcontracts for goods and services. As part of its procurement process, ALLIANCE will make efforts to identify and inform minority-owned and small businesses that are qualified and capable of providing goods and services to ALLIANCE of such opportunities. ALLIANCE's representatives will contact the Department of Economic and Community Development, the administrator of the small and minority-owned Telecommunications assistance program, to obtain a list of qualified vendors. Moreover, ALLIANCE will seek to increase awareness of such opportunities so that companies not otherwise identified will have sufficient information to participate in the procurement process.

#### II. DEFINITIONS

As defined in §65-5-212.

Minority-Owned Business. Minority-owned business shall mean a business which is solely owned, or at lease fifty-one percent (51%) of the assets or outstanding stock of which is owned, by an individual who personally manages and controls daily operations of such business, and who is impeded from normal entry into the economic mainstream because of race, religion, sex or national origin and such business has annual gross receipts of less than four million dollars (\$4,000,000).

Small Business. Small Business shall mean a business with annual gross receipts of less than four million dollars (\$4,000,000).

#### III. ADMINISTRATION

ALLIANCE's Plan will be overseen and administered by the individual named below (hereinafter "Administrator"), who will be responsible for carrying out and promoting ALLIANCE's full efforts to provide equal opportunities for small and minority-owned businesses. The Administrator of the Plan will be:

Mary O'Keeffe, Treasurer
ALLIANCE GLOBAL NETWORKS LLC
1221 Post Rd. E.
Westport, CT 06880
Telephone: (203) 221-8700

The Administrator's responsibilities will include:

- (1) Maintaining an updated Plan in full compliance with §65-5-212 and the rules and orders of the Tennessee Regulatory Authority.
- (2) Establishing and developing policies and procedures necessary for the successful implementation of the Plan.
- (3) Preparing and submitting such forms as may be required by the Tennessee Regulatory Authority, including the filing of required annual updates.

- (4) Serving as the primary liaison to and cooperate with the Tennessee Regulatory Authority, other agencies of the State of Tennessee, and small and minority-owned businesses to locate and use qualified small and minority-owned businesses as defined in §65-5-212.
- (5) Searching for and developing opportunities to use small and minority-owned businesses and encouraging such businesses to participate in and bid on contracts and subcontracts.
- (6) Providing records and reports and cooperating in any authorized surveys as required by the Tennessee Regulatory Authority.
- (7) Establishing a record-keeping system to track qualified small and minority-owned businesses and efforts to use such businesses.
- (8) Providing information and educational activities to persons within ALLIANCE and training such persons to seek out, encourage, and promote the use of small and minorityowned businesses.

In performance of these duties, the Administrator will utilize a number of resources, including:

Chambers of Commerce

The Tennessee Department of Economic and Community Development

The United States Department of Commerce

Small Business Administration

Office of Minority Business

The National Minority Supplier Development Counsel

The National Association of Women Business Owners

The National Association of Minority Contractors

Historically Black Colleges, Universities, and Minority Institutions

The efforts to promote and ensure equal opportunities for small and minority-owned businesses are primarily spelled out in the Administrator's duties above. Additional efforts to provide opportunities to small and minority-owned businesses will include offering, where appropriate and feasible, small and minority-owned businesses assistance with technical, insurance, bonding, licensing, production, and deadline requirements.

#### IV. RECORDS AND COMPLIANCE REPORTS

ALLIANCE will maintain records of qualified small and minority-owned business and efforts to use the goods and services of such businesses. In addition, ALLIANCE will maintain

records of educational and training activities conducted or attended and of the internal

procurement procedures adopted to support this plan.

ALLIANCE will submit records and reports required by the Tennessee Regulatory

Authority concerning the Plan. Moreover, ALLIANCE will cooperate fully with any surveys and

studies required by the Tennessee Regulatory Authority.

ALLIANCE GLOBAL NETWORKS LLC

By: Mary O'Keeffe
Mary O'Keeffe

Dated: 10-6-2008

# EXHIBIT K

**InterLATA Toll Dialing Parity Plan** 

# ALLIANCE GLOBAL NETWORKS LLC PROPOSED PLAN FOR PROVIDING TOLL DIALING PARITY

#### Introduction

Section 251(b)(3) of the Telecommunications Act of 1996 (the "Act"), 47 USC \$251(b)(3), requires each local exchange carrier ("LEC") to provide dialing parity to competing providers of telephone exchange service and telephone toll services. In order to ensure that each LEC is providing toll dialing parity, the Federal Communications Commission's ("FCC") regulations implementing the ACT, 48 CFR \$52.213, require each LEC to file a plan for providing toll dialing parity with the state commission or the FCC. ALLIANCE GLOBAL NETWORKS LLC ("ALLIANCE") hereby files, for review by the Tennessee Regulatory Authority ("TRA"), its Proposed Plan for Providing Toll Dialing Parity.

#### **TOLL DIALING PARITY PLAN**

#### Technical Implementation

ALLIANCE will be offering dialing parity for all toll calls. Each customer requesting ALLIANCE local exchange service will be given the opportunity to affirmatively select a presubscribed carrier for intraLATA toll and interexchange toll calls. ALLIANCE intends to utilize the underlying carrier's 2-PIC capabilities. This allows a customer to presubscribe to a preferred carrier for intraLATA toll calls and the same or different carrier for interLATA toll calls. ALLIANCE will be implementing this plan upon receiving authority from the TRA to provide service. ALLIANCE will initially be establishing service in the LATA served by Bell South.

#### **Business Office Practices**

ALLIANCE will ensure that all customers are aware they have a choice of intraLATA, as well as interLATA toll carriers. In response to each request for ALLIANCE service, an

ALLIANCE sales representative will inform the customer that ALLIANCE will presubscribe the customer's toll service to both the intraLATA and the interLATA toll carrier(s) of the customer's choice. In this way, the customer knows that a choice can be made among intraLATA and interLATA toll carriers. All carriers will be treated on a non-discriminatory basis and each customer will be given the opportunity to affirmatively select an intraLATA and interLATA toll carrier. ALLIANCE will maintain a list of available toll carriers and keep it updated. ALLIANCE will process a customer's PIC charge to a toll carrier other than ALLIANCE in the same fashion and in the same time frames as a request to presubscribe to itself. Once an ALLIANCE customer has chosen an intraLATA and interLATA toll carrier, the customer will be able to verify that selection at any time by dialing a toll free number. Customers will have access on a nondiscriminatory basis to telephone numbers, operator services, directory assistance, and directory listings. ALLIANCE does not have a PIC charge charge waiver period at this time.

In responding to a communication from another intraLATA and interLATA telecommunications carrier, ALLIANCE's customer care representative will use an industry-standard Customer Account Record ("CARE") format to accept the required change. Such changes will be implemented only through a CARE request to ensure that changes are not made without appropriate authorization. Other carriers may submit a CARE request of ALLIANCE either manually or electronically.

#### Anti-Slamming

ALLIANCE will comply with the FCC's anti-slamming provisions, 47 CFR § 64-110-150. ALLIANCE will make available a PIC-freeze option to requesting customers to protect the customer from unauthorized changes to its selected intraLATA and interLATA toll carrier.

#### Dialing Plan

The following matrix outlines the proposed routing of calls.

0 ALLIANCE local Operator Service

00 Dial to presubscribed Toll Provider Operator

Service

1+10 digits Dial to presubscribed intraLATA Toll

Provider or interLATA Toll Provider Operator Service (depending on 10 digit

number dialed).

10xxx or 101xxx+0 Dial around presubscribed intraLATA or

interLATA Toll Provider to alternate Toll Provider Operator Service identified by code used by xxx or xxix portion of dialing

request).

10xxx or 101xxxx+0+10 digits Dial around presubscribed intraLATA or

interLATA Toll Provider to alternate Toll Provider Operator Service (identified by code used in xxx or xxix portion of dialing

request).

10xxx or 101xxxx+1+10 digits Dial around presubscribed intraLATA or

interLATA Toll Provider to direct dial through alternate Toll Provider (identified by code used in xxx or xxix portion of

dialing request).

### Applicability

This plan for providing dialing parity is intended to apply to all dial tone lines provisioned by ALLIANCE. ALLIANCE will comply with all rules of the FCC and the TRA.

### Conclusion

ALLIANCE respectfully request that the TRA approve its proposed plan for providing toll-dialing parity.

# EXHIBIT L

**Surety Bond** 



## **Producer Compensation Notice**

You can review and obtain information on The Hartford's producer compensation practices at <a href="https://www.thehartford.com">www.thehartford.com</a> or at 1-800-592-5717.

### TENNESSEE REGULATORY AUTHORITY

# TENNESSEE TELECOMMUNICATIONS SERVICE PROVIDER'S SURETY BOND

Bond #: 31BSBFN1245	
WHEREAS, Alliance Global Networks I	LLC (the "Principal"), has
applied to the Tennessee Regulatory Authority for au	athority to provide telecommunications services in the State of Tennessee; and
required to file this bond in order to obtain such auth	er 4, Section 125(j) of the Tennessee Code Annotated, as amended, the Principal is nority and to secure the payment of any monetary sanction imposed in any enforcement Code Annotated or the Consumer Telemarketing Act of 1990 by or on behalf of the
WHEREAS, Hartford Fire Insurance Co	
Insurance to engage in the surety business in this sta	in the State of Tennessee and duly authorized by the Tennessee Commissioner of ate pursuant to Title 56, Chapter 2 of the Tennessee Code Annotated, has agreed to ply with the provisions of Title 65, Chapter 4, Section 125(j) of the Tennessee Code
accordance with the provisions of Tennessee Code A dollars (\$20,000.00) lawful money of the United State imposed against the Principal, its representatives, s Tennessee Code Annotated or the Consumer Telem	rincipal and the Surety are held and firmly bound to the STATE OF TENNESSEE, in nnotated, Title 65, Chapter 4, Section 125(j), in the full amount of twenty thousand es of America to be used for the full and prompt payment of any monetary sanction successors or assigns, in any enforcement proceeding brought under Title 65 of tarketing Act of 1990, by or on behalf of the TRA, for which obligation we bind as, each jointly and severally, firmly and unequivocally by these presents.
annual renewal period or portion thereof shall constitut the liability of the Surety shall not be cumulative, and bond shall not exceed Twenty Thousand Dollars (\$20,0	day of <u>August</u> , 2009 and shall be continuous; provided, however, that each e a new bond term. Regardless of the number of years this bond may remain in force, the aggregate liability of the Surety for any and all claims, suits or actions under this 000.00). The Surety may cancel this bond by giving thirty (30) days written notice of ed mail, it being understood that the Surety shall not be relieved of liability that may cellation.
PRINCIPAL	SURETY
Alliance Global Networks LLC  Name of Company authorized by the TRA	Hartford Fire Insurance Company Name of Surety
Company ID # as assigned by TRA	One Hartford Plaza, Hartford, CT 06155  Address of Surety
SIGNATURE OF PRINCIPAL	
SIGNATURE OF FRINCIPAL	SIGNATURE OF SURETY AGENT
in Dlay	Hessica Crone
Name: Jess Di Ausquale	Name: Jessica Ciccone
Title: CFO	Title: Attorney-in-Fact
	Address of Surety Agent:
	50 LOCUST AVE
	NEW CANAAN, CT 06840

THIS BOND IS ISSUED IN ACCORDANCE WITH THE PROVISIONS OF SECTION 125, CHAPTER 4, TITLE 65 OF THE TENNESSEE CODE ANNOTATED AS AMENDED BY CHAPTER NO. 586, 2000 PUBLIC ACTS. SHOULD THERE BE ANY CONFLICT WITH THE TERMS HEREOF AND THE STATUTE OR REGULATIONS PROMULGATED THEREUNDER, THE STATUTE OR REGULATIONS SHALL PREVAIL. (POWER OF ATTORNEY FROM AN APPROVED INSURANCE COMPANY MUST BE ATTACHED.)

### ACKNOWLEDGMENT OF PRINCIPAL

COUNTY OF Factbald		
Before me, a Notary Public of the State and County aforesaid, personally appeared		
WITNESS my hand and seal this 18 day of Account, 2007		
My Commission Expires:		
STUART D. HOLDEN  NOTARY PUBLIC MY COMMISSION EXPIRES MAR. 31, 2014  ACKNOWLEDGMENT OF SURETY		
STATE OF TENNESSEE COUNTY OF		
Before me, a Notary Public of the State and County aforesaid, personally appeared with whom I am personally acquainted and who, upon oath, acknowledged himself to be the individual who executed the foregoing bond on behalf of, the within named Surety, a corporation licensed to do business in the State of Tennessee and duly authorized by the Tennessee Commissioner of Insurance to engage in the surety business in this state pursuant to Title 56, Chapter 2 of the Tennessee Code Annotated, and that he as such an individual being authorized to do so, executed the foregoing bond, by signing the name of the corporation by himself and as such individual.		
WITNESS my hand and seal this day of, 20		
My Commission Expires:		
APPROVAL AND INDORSEMENT		
This is to certify that I have examined the foregoing bond and found the same to be sufficient and in conformity to law, that the sureties on the same are good and worth the penalty thereof, and that the same has been filed with the Tennessee Regulatory Authority, State of Tennessee, this day of, 20		
Name:		

Title:

Direct Inquiries/Claims to:

# POWER OF ATTORNEY

#### THE HARTFORD BOND, T-4

P.O. BOX 2103, 690 ASYLUM AVENUE HARTFORD, CONNECTICUT 06115

call: 888-266-3488 or fax: 860-757-5835)

KNOW ALL PERSONS BY THESE PRESENTS THAT:	Agency Code: 31 800241
X Hartford Fire Insurance Company, a corporation duly organized under the la	aws of the State of Connecticut
Hartford Casualty Insurance Company, a corporation duly organized under	r the laws of the State of Indiana
Hartford Accident and Indemnity Company, a corporation duly organized	under the laws of the State of Connecticut
Hartford Underwriters Insurance Company, a corporation duly organized to	under the laws of the State of Connecticut
Twin City Fire Insurance Company, a corporation duly organized under the	laws of the State of Indiana
Hartford Insurance Company of Illinois, a corporation duly organized unde	er the laws of the State of Illinois
Hartford Insurance Company of the Midwest, a corporation duly organized	d under the laws of the State of Indiana
Hartford Insurance Company of the Southeast, a corporation duly organize	zed under the laws of the State of Florida
having their home office in Hartford, Connecticut (hereinafter collectively referred to as the up to the amount of UNLIMITED :	

UL BEVERLY K. BOHBERT, T. TRAJICK, JOELLE L. LAPIERRE, TERUKO REINERTSEN, MARY T. MONICA, DAN SHORT, VL. WHEELER, SUZAN TURNER, SUSAN J. NEWTON, GLORIA DIAZ, DEBBI SLOAN, SANDI SMITH, SUANNE COX, LISA E. BARROWS, HARJIT CHAHAL, TEZLYN J. WALLACE, HOLLY CARTER, FRANTZ. GEBARA, JULIO DELUVALLE, JENNIFER DENNIS, ANN GILE, KATHLEEN ADAMS, EMILY OLAN, GEOFFREY RAMPERSAD, SHELBY WIGGINS, NANCY DUDLEY, EUGENE HERRERA, CHRISTINA HEATLEY, LORI S. DAMRON, SHAKI RUFF, TANYA RIOS, SHANTA MAHADEO, CHRISTOPHER LOPEZ, RICARDO MALLARI, DEBORAH HEDRICK, JASON VALLE, AMY JO MILLER, ALPHA D. LAUREANO, REINA DAIL, MELANIE MCGOVERN, JENNIFER O'BRIEN, CHRISTINE MORTON, LILIANA JOHNSON, STEPHANIE HOOPER, TAMMY BROWN, MICHELE CONLEY, ROBIN TALBERT, BRIAN TURNER, JENNIFER MORALES, VANESSA M. TIMPANO, STUART OWENS, JESSICA CICCONE, GREGORY MARKHAM, SARA DIFIORE, COLIN LEISHER, MICHAEL JONES OF HEATHROW, FLORIDA

their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign its name as surety(ies) only as delineated above by A, and to execute, seal and acknowledge any and all bonds, undertakings, contracts and other written instruments in the nature thereof, on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

In Witness Whereof, and as authorized by a Resolution of the Board of Directors of the Companies on January 22, 2004, the Companies have caused these presents to be signed by its Assistant Vice President and its corporate seals to be hereto affixed, duly attested by its Assistant Secretary. Further, pursuant to Resolution of the Board of Directors of the Companies, the Companies hereby unambiguously affirm that they are and will be bound by any mechanically applied signatures applied to this Power of Attorney.

















Scott Sadowsky, Assistant Secretary

M. Ross Fisher, Assistant Vice President

STATE OF CONNECTICUT

Hartford

COUNTY OF HARTFORD

On this 3rd day of March, 2008, before me personally came M. Ross Fisher, to me known, who being by me duly sworn, did depose and say: that he resides in the County of Hartford, State of Connecticut; that he is the Assistant Vice President of the Companies, the corporations described in and which executed the above instrument; that he knows the seals of the said corporations; that the seals affixed to the said instrument are such corporate seals, that they were so affixed by authority of the Boards of Directors of said corporations and that he signed his name thereto by like authority.



CERTIFICATE

Scott E. Paseka

Notary Public My Commission Expires October 31, 2012

I, the undersigned, Assistant Vice President of the Companies, DO HEREBY CERTIFY that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is still in full force effective as of August 14, 2009 Signed and sealed at the City of Hartford.

















Gary W. Stumper, Assistant Vice President