



ORIGINAL

March 21, 2000

Ms. Sharla Dillon
Tennessee Regulatory Authority
460 James Robertson Parkway
Nashville, Tennessee 37243-0505

00-00254

Dear Ms. Dillon:

Enclosed you will find the original and one copy of the Ardmore Communications, LLC Application for Certificate to Provide Operator Services and/or Resell Telecommunications Services in Tennessee. Also enclosed is a check for Fifty dollars to cover the application fee.

Mr. Jim Cherry with CHR Solutions is our representative in this matter. If you have any questions regarding this application, please call Mr. Cherry at 770-446-7242. Or you may call me, Terry Wales, at 256-423-6900. Thank you in advance for your cooperation.

Sincerely,

Terry M. Wales
Chief Manager

TMW/cm

Enclosure



ARDMORE COMMUNICATIONS, LLC

APPLICATION FOR CERTIFICATE TO PROVIDE OPERATOR SERVICES AND/OR RESELL TELECOMMUNICATIONS SERVICES IN TENNESSEE

Prepared for:
Ardmore Communications, LLC
By
CHR Solutions, Inc.

00-00254

APPLICATION FOR CERTIFICATE
TO PROVIDE OPERATOR SERVICES AND/OR
RESELL
TELECOMMUNICATION SERVICES IN TENNESSEE
SECTION A

Application is hereby made for a certificate of authority pursuant to Rule 1220-4-2-.57 to provide telecommunications services in the State of Tennessee.

Part I: General Information

A. Name of Applicant Ardmore Communications, LLC
Full exact name of person, corporation, partnership, sole proprietorship, or other entity, for which application is made
Same
Legal name of applicant, if different from above.

Tenn. Secretary of State Certificate of Authority ID 0369578

Federal Taxpayer ID Number 63-1226077

Social Security Number of Applicants
Applying as individuals Does not Apply

Any trade name(s), assumed names(s), or fictitious name(s) used by applicant:
None

If applicant has affiliate(s) engaged in providing telecommunications services, provide the above requested information for the affiliate(s), as well as the applicant.

Ardmore Communications, LLC is owned in part by some of the owners of Ardmore Telephone Company, Inc., will share (under contract) some of the office space and personnel services of Ardmore Telephone Company, Inc. Since this arrangement could be interpreted as an affiliation, the address and other pertinent information for Ardmore Telephone Company, Inc. is shown below. The Secretary of State Certificate of Authority ID Number and Federal Taxpayer ID Number for Ardmore Telephone Company are 0001479 and 62-0590108, respectively. See ATTACHMENT I-A under TAB 8.

Address 30190 Ardmore Avenue City Ardmore

State Tennessee Zip Code 38449 Phone No. (256) 423 - 2131

IMPORTANT INFORMATION

If applicant has affiliate(s) or parent company, or constituency corporations, engaged in providing telecommunications services, or operating under any trade name, assumed name or fictitious name used by the above, provide the above requested information on all parts of this application as well as for the applicant. Provide this information on a separate attachment, if necessary.

THIS SECTION FOR TRA USE ONLY

Docket Number. _____

Company ID Number 128626
Date Approved _____
Evaluator _____

B. Describe other business or business transactions, if any, at the same location as the principal business address: Applicant is co-located with Ardmore Telephone Company, Inc.

C. Provide the name, business and home address of and a chronological summary of the employment history and business experience over the preceding eight years of:

- (a) The proprietor, if the applicant is an individual;
- (b) Every member, if the applicant is a partnership;
- (c) Each Executive Officer, Director and each Key Stockholder if the applicant is a joint stock association or a corporation. (Note: If the applicant is a publicly traded corporation or a subsidiary of such a corporation it does not need to provide this information)
- (d) any person in a position to exercise control over or direction of, the business of the applicant, regardless of the form of organization of the applicant.

Information to be included:

NAME TITLE DATE OF BIRTH

SOCIAL SECURITY NUMBER

BUSINESS ADDRESS

PHONE No.

HOME ADDRESS

PHONE No.

EMPLOYMENT HISTORY See Attachment 1C under TAB 9.

Provide the above requested information on separate attachments.

D. Has the applicant or any of its parent companies, subsidiaries, affiliates, owners, partners, LLC members, directors, officers, five percent (5%) more shareholders or beneficiaries (of a trust) been associated with a business whose authority to transact business was denied, revoked or suspended by a state or federal regulatory or law enforcement entity? Yes X No If yes, please explain fully

E. Has the State of Tennessee Regulatory Authority, or any other agency of the State of Tennessee, any federal agency or any agency of any other state ever initiated a regulatory action or order against the applicant or any of its parent companies, subsidiaries, affiliates, owners, partners, LLC members, directors, officers, five percent (5%) more shareholders or beneficiaries (of a trust)? Yes X No If yes, please explain fully

1. Has the applicant or any of its parent companies, subsidiaries, affiliates, owners, partners, LLC members, directors, officers, five percent (5%) more shareholders or beneficiaries (of a trust) been enjoined or restrained by order by any court or state or federal regulatory or law enforcement entity from engaging in any conduct or practice related to the telecommunications business? Yes X No If yes, please explain fully

F. Has the applicant or any of its parent companies, subsidiaries, affiliates, owners, partners, LLC members, directors, officers, five percent (5%) more shareholders or beneficiaries (of a trust) been associated with a business who has ceased providing telecommunications services in any state, describe the circumstances? No

(Use additional pages if necessary)

- G. Has the applicant or any of its parent companies, subsidiaries, affiliates, owners, partners, LLC members, directors, officers, five percent (5%) more shareholders or beneficiaries (of a trust) been convicted of any crime or crimes, or charged in a court with any fraudulent or dishonest acts in any transaction of any kind, or confined in any penal institution? No If so, list such persons, give details, state results and final outcome.
(Use additional pages if necessary)

- (1) Has the applicant or any of its parent companies, subsidiaries, affiliates, owners, partners, LLC members, directors, officers, five percent (5%) more shareholders or beneficiaries (of a trust) been indicted, convicted, plead guilty of pled nolo contendere to a felony in Tennessee or elsewhere? Yes X No If yes, please explain fully

- H. Name and telephone number of contact person authorized to respond to Authority inquiries regarding company operations Monday through Friday.

[REDACTED] [REDACTED] (256) 423 - 2208
Name Phone Fax. No.

(800) 830-9946 E-mail Address twales@ardmore.net

1. Name and telephone number of contact person authorized to respond to Authority inquiries regarding this filing Monday through Friday.

[REDACTED] [REDACTED] [REDACTED] [REDACTED]
Name Phone Fax. No.
965-4788
(800) NA E-mail Address jim.cherry@chrsolutions.com

- I. List a toll-free telephone number and mailing address that consumers can call or write to report service problems and/or request refunds or adjustments.

(800) 830-9946 (256) 423-2131
PHONE NUMBER ALTERNATE PHONE NUMBER
30190 Ardmore Ave. Ardmore, TN 38449
ADDRESS CITY ST ZIPCODE

- (J) Provide the name and address of the registered agent for service or process:

Terry Wales; Chief Manager (256) 423-2131
30190 Ardmore Ave. Ardmore, TN 38449

- (K) Identify all authorized agents in the state, if any by name, address, business and home phone numbers and any other businesses conducted by the agent at the same location:
(Use additional sheets if necessary) None

Part II:

- A. Check the type of telecommunications services you plan to provide in Tennessee.
☒ Resell Interexchange long distance services
☐ Operator Services
☐ Resell local services
☒ Other (describe) [REDACTED]
- B. ☒ Providing operator services, list company name, address and contact person for all reseller carriers you serve in Tennessee. Does Not Apply Provide the above information on Appendix I.
- C. List the state(s) where the applicant, its parent company, and all affiliates is authorized to operate in at this time. For each such state, describe applicant's current activities along with a history of operations there. (Use additional pages if necessary.)

Ardmore Communications, LLC will apply for certification to resell Interexchange long distance services in Alabama. Ardmore Communications, LLC is owned in part by some of the owners of Ardmore Telephone Company, Inc., will share (under contract) some of the office space and personnel services of Ardmore Telephone Company, Inc. but is not a subsidiary of Ardmore Telephone Company, Inc. Since this arrangement could be interpreted as an affiliation the operations of Ardmore Telephone Company is shown here. Ardmore Telephone Company, Inc. has been a certified Local Exchange Carrier in Tennessee and Alabama for over 40 years.

If applicant has affiliate(s) or parent company, or constituency corporations, engaged in providing telecommunications services, or operating under any trade name, assumed name or fictitious name used by the above, provide the above requested information for all as well as for the applicant. Provide this information on a separate attachment, if necessary.

- D. List the state(s) where the applicant, or any affiliate, parent company, or constituency corporation operating under any trade name, assumed name, or fictitious name, has been denied authority to provide service. (Use additional pages if necessary)

NONE

- E. Areas in Tennessee to be served.
Ardmore Communications intends to eventually serve the entire state of Tennessee.

- F. What type of customers will the applicant serve?

- a. Business X
b. Residential X
c. Aggregators X
(E.g. Hotels, Pay phones)
d. Other (specify) _____

- G. Does the applicant allow a property imposed fee (PIF) to be added to the price of intrastate telephone calls over its network? If yes, specify amount. NO.

- H Are your prices for intrastate service any PIF equal to or less than the dominant carriers' price for similar services? Yes _____ No _____ NA X
- I Describe the type of services and price that the applicant will be offering in Tennessee on the Informational Tariff Form found in Appendix II¹.
- J What is the applicants 10XXX or 800 access code, if applicable? 1016354
- K Does the applicant now have or plan to have any telecommunication's facilities (e.g. switches, fiber lines) in Tennessee? NO
- L What facility-based network(s) will the applicant be reselling? [REDACTED]
- M Will the applicant be utilizing the local telephone company's billing system or billing customers directly²? Ardmore Telephone Company will provide (under contract) customer billing for Ardmore Communications, LLC.
- N Describe briefly how the applicant plans to market their services in Tennessee?
Ardmore Communications, LLC will market their services in Tennessee through direct mail campaigns, media advertising and telemarketing by contract employees of Ardmore Communications, LLC.
- O If independent telemarketers are to be used, list the name, contact person, address phone number and federal taxpayer ID for each company. Does not apply.
- | COMPANY NAME | CONTACT | ADDRESS | CITY | ST | ZIP | PHONE |
|---|---------|---------|------|----|-----|-------|
| <u>See Attachment II-P under Tab 10</u> | | | | | | |

¹ Applicant is required to fill out an Informational Tariff form. Failure to fill out this form will cause the applicant's request to be rejected.

² A copy of a bill is required if the applicant is going to bill the customer directly. NA

(c) The date admitted into Tennessee, if a foreign corporation:

(1) Attach a copy of Certification of Authority issued by Tennessee Secretary of state showing corporation's authority to engage in business in Tennessee. See ATTACHMENT III-A under TAB 11.

(d) Describe the corporate structure of the applicant, including the identity of any parent or subsidiary of the applicant. Disclose whether any parent or subsidiary is publicly traded on any stock exchange. Does not apply

(e) Provide the history of material litigation and criminal conditions of every current director, executive officer, or key shareholder of the applicant for the ten-year period prior to the date of this application. None

(f) If applicable, attach a copy of the instrument creating the trust and all amendments thereto:

B. ☐ Proprietorship

☐ Partnership

☐ General

Attach a copy of the partnership agreement along with any amendments

☐ Limited

Attach a copy of the certificate of limited partnership and the partnership agreement along with any amendments

☐ Other (Explain on separate sheet)

All of the above will be required to submit a valid business license.

See Attachment III-B under Tab 12

(a) Identify the place and date of the applicant's qualifications to provide telecommunications services in this state.

(b) List the full name, social security number and address of the owners, if a sole proprietorship, or all partners identifying the percentage of ownership:
See Attachment 1-C under TAB 9.

C. Number of employees: 1 All operations, except for management functions performed by the Vice President and Secretary, will be performed under contract by employees of Ardmore Telephone Company, Inc.

Employer Identification Number (E.I.N.) 63-1226077

Part IV: Financial Information

A. Address where business records are kept: 30190 Ardmore Avenue
STREET
Ardmore TN 38449 256-423-2131
CITY STATE ZIP CODE PHONE NUMBER

- B. Attach a copy of the applicant's unconsolidated and consolidated audited financial statements for the current year and if applicable, for the immediate preceding three-year period. Provide in detail the applicants financial condition, including balance sheet and income statement, or a copy of the IRS form 1120 or 1065 filed by your business for the previous year. Attach, if available, a copy of your company's 10K and/or stockholder reports. Ardmore Communications, LLC is a start-up operation and as such has no audited financial reports. See ATTACHMENT IV-B under TAB 13.

(1) Fiscal year end: Month December Day 31

(2) Date of most recent audited, unconsolidated financial statement of applicant:

Does Not Apply

(3) If applicable, name and address of independent certified public accountant:

Does Not Apply

(4) Period covered by financial statement attached: 4-22, 1999 thru 12-31-1999

- C. Does the applicant currently have an internal auditor and/or internal audit program? No

If so, Name of Internal auditor Does Not Apply

- D. If applicable, provide a history of applicants material litigation and criminal convictions for the ten-year period prior to the date this application is made. Material litigation is defined as any litigation that, according to generally accepted accounting principals, is deemed significant to a persons financial health and would be required to be referenced in annual audited financial statements, reports to shareholders or similar documents. Does Not Apply

Part VI: Rule Compliance Agreement

- A. Have you received, read, and understand the Tennessee Regulatory Authority's (TRA) Reseller Rules and Regulations, (Appendix III) in its entirety?

X Yes ___ No

- B. Do you understand the penalties for non-compliance, and all associated fees to provide such service? X Yes ___ No

Mail the completed application and a check for \$50.00 to: Tennessee Regulatory Authority, P.O. Box 198907, Nashville, TN 37219-8907. Should you have any questions, call (615-741-7489) ext. 163.

The Reseller or Operator Service Provider applicant, hereby, affirms the following:

Will comply with the TRA Reseller Rules and all other applicable Authority Rules and state laws, including T.C.A Section 65-5-206 (appendix IV),

Having been duly sworn, and under the penalty of perjury, I hereby certify that the representations in this RESELLER APPLICATION and all attachments and appendices are true and correct to the best of my knowledge and belief. I further understand that omissions or inaccuracies may result in denial of the APPLICATION and grounds for revocation of Certificate of Authority.

For Individuals and Partners:

_____ Signature	_____ Signature
_____ PRINTED NAME	_____ PRINTED NAME
_____ Signature	_____ Signature
_____ PRINTED NAME	_____ PRINTED NAME

For Corporations
and Other Organizations

BY: Ardmore Communications, LLC
(NAME OF CORPORATION)
Terry M. Wales
SIGNATURE
Terry Wales
PRINTED NAME
Chief Manager
Title
ATTEST: Cawdon Matheson
Secretary
Title

On this the 14 day of March - 2000 before me, a Notary Public
Terry M. Wales

known to me to be the person(s) named in, and who executed the foregoing application, being duly sworn according to law, deposes and says that the statements and representations set forth in the above application are true and correct to the best of his/her knowledge and belief.

George A. Bailey
Notary Public

seal

State of Tennessee

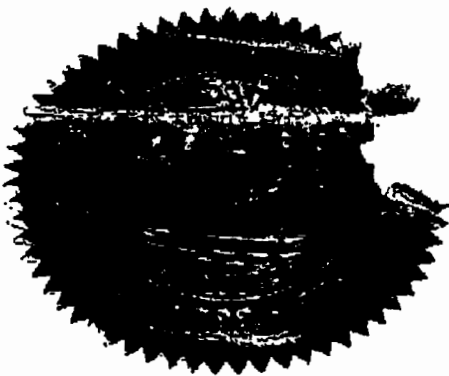


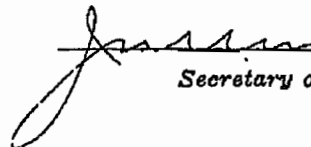
Department of State

CERTIFICATE

The undersigned, as Secretary of State of the State of Tennessee, hereby certifies that the attached document was received for filing on behalf of ARDMORE TELEPHONE COMPANY, was duly executed in accordance with (Name of Corporation) the Tennessee General Corporation Act, was found to conform to law and was filed by the undersigned, as Secretary of State, on the date noted on the document.

THEREFORE, the undersigned, as Secretary of State, and by virtue of the authority vested in him by law, hereby issues this certificate and attaches hereto the document which was duly filed on JANUARY TWENTY-SIXTH, 1970.




Secretary of State

Secretary of State
Corporations Section
James K. Polk Building, Suite 1830
Nashville, Tennessee 37219

ISSUANCE DATE: 03/21/1988
REQUEST DATE: 03/21/88
TELEPHONE CONTACT: (615) 741-6488

CHARTER/QUALIFICATION DATE: 08/08/1955
CORPORATE STATUS: ACTIVE
CORPORATE EXPIRATION: PERPETUAL
CONTROL NUMBER: 0001478
JURISDICTION: TENNESSEE

TO:
GLANKLER, BROWN, GIL LILAND, CHASE,
1 COMMERCE SQ S 1700
MEMPHIS, TN 38103

REQUESTED BY:
GLANKLER, BROWN, GIL LILAND, CHASE,
1 COMMERCE SQ S 1700
MEMPHIS, TN 38103

CERTIFICATE OF EXISTENCE

I, GENTRY CROWELL, SECRETARY OF STATE OF THE STATE OF TENNESSEE DO HEREBY CERTIFY THAT

"ARDMORE TELEPHONE COMPANY INCORPORATED"

IS A CORPORATION DULY INCORPORATED UNDER THE LAW OF THIS STATE WITH DATE OF
INCORPORATION AND DURATION AS GIVEN ABOVE;
THAT ALL FEES, TAXES, AND PENALTIES OWED TO THIS STATE WHICH AFFECT THE
EXISTENCE OF THE CORPORATION HAVE BEEN PAID;
THAT THE MOST RECENT CORPORATION ANNUAL REPORT REQUIRED HAS BEEN FILED
WITH THIS OFFICE; AND
THAT ARTICLES OF TERMINATION OF CORPORATE EXISTENCE HAVE NOT BEEN FILED

FOR: REQUEST FOR CERTIFIC

FROM:
GLANKLER, BROWN, GIL LILAND, CHASE,
1 COMMERCE SQ S 1700
MEMPHIS, TN 38103

RECEIVED: 10.00

ON DATE: 03/21/1988

RECEIPT NUMBER: 00000750200



Gentry Crowell

GENTRY CROWELL

TOWN OF ARDMORE

2000 Business License

P.O. BOX 151
ARDMORE, TN 38449
Phone: (256)423-3011 Fax: (256)423-6945

Customer: 0990165
Ardmore Communications LLC
ATTN: License Renewal
P. O. Box 1209
ARDMORE, TN 38449-

Physical Address:
30190 Ardmore Avenue
ARDMORE, AL 35739

LICENSE: 2000000106
ISSUED: 03/14/2000
EXPIRES: 12/31/2000

SAID BUSINESS HAVING PAID BUSINESS LICENSE FEES IS HEREBY AUTHORIZED TO DO BUSINESS IN THE CITY OF ARDMORE, ALABAMA UNTIL DECEMBER 31, 2000.

THIS LICENSE IS SUBJECT TO REVOCATION FOR VIOLATION BY THE LICENSEE OF ANY ORDINANCE RELATING TO THE BUSINESS FOR WHICH IT IS ISSUED OR FOR AIDING OR ABETTING IN VIOLATION OR KNOWINGLY PERMITTING OR SUFFERING TO BE VIOLATED, ANY PENAL ORDINANCE.

This license must be kept in a conspicuous place.

RECEIPT

TOWN OF ARDMORE

Received From: Ardmore Communications LLC

LICENSE #2000000106
ISSUED: 03/14/2000
PAID CASH
BATCH # 0000000024

Section	Business Type	License Amount	Interest	Penalty	Issue Fee	Paid
0056A	Telephone Companies - Long Distance	205.50	0.00	0.00		\$205.50

By *Stacy McCormack*
Stacy McCormack, Town Clerk



Statement of Compliance

To: Tennessee Regulatory Authority

From: Terry M. Wales
Chief Manager

This is to certify that ARDMORE COMMUNICATIONS, LLC is operating in compliance with all applicable federal and state laws and all Federal Communications Commission and Tennessee Regulatory Authority rules.

Signed: Terry M. Wales
Terry M. Wales - Chief Manager

Date: 3-14-2000

Subscribed and Sworn
before me this 14th day
of MARCH, 2000

SEAL

George A. Bailey

